



Audit and Risk Management Committee

Date: TUESDAY, 15 JANUARY 2019
Time: 1.45 pm
Venue: COMMITTEE ROOMS, 2ND FLOOR, WEST WING, GUILDHALL

Members: Alderman Ian Luder (Chairman)
Alexander Barr (Deputy Chairman)
Hilary Daniels (Deputy Chairman – External Member)
Randall Anderson
Alderman Nick Anstee
Chris Boden
Anne Fairweather
Marianne Fredericks
Alderman John Garbutt
Deputy Jamie Ingham Clark (Ex-Officio Member)
Kenneth Ludlam (External Member)
Paul Martinelli
Caroline Mawhood (External Member)
Jeremy Mayhew (Ex-Officio Member)
Andrien Meyers
John Petrie

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N.B. Part of this meeting may be subject to audio-visual recording.

Lunch will be served in the Guildhall Club at 1.00pm.

**John Barradell
Town Clerk**

AGENDA

Items which will be approved/noted without discussion

All items marked * will be deemed to have been read and will be noted/agreed, without comment, unless the Town Clerk is advised by 10 am on Monday 14th January 2019

Part 1 - Public Agenda

1. **APOLOGIES**
2. **MEMBERS' DECLARATIONS UNDER THE CODE OF CONDUCT IN RESPECT OF ITEMS ON THE AGENDA**
3. **MINUTES OF THE PREVIOUS MEETING**
To agree the public minutes and non-public summary of the meeting held on 6 November 2018.

For Decision
(Pages 1 - 8)

4. **OUTSTANDING ACTIONS OF THE COMMITTEE ***
Member are asked to note the Committee's Outstanding Actions List.

For Information
(Pages 9 - 12)

5. **COMMITTEE WORK PROGRAMME***
Member are asked to note the Committee's Work Programme.

For Information
(Pages 13 - 14)

Financial Statements and External Audit

6. **BRIDGE HOUSE ESTATES ANNUAL REPORT AND FINANCIAL STATEMENTS 2017/18**
Report of the Chamberlain.

For Decision
(Pages 15 - 116)

Internal Audit/Corporate Anti-Fraud

7. **INTERNAL AUDIT RECOMMENDATIONS FOLLOW-UP**
Report of the Head of Internal Audit and Risk Management.

For Information
(Pages 117 - 138)

8. **LONDON COUNTER FRAUD HUB UPDATE ***

Report of the Chamberlain. TO FOLLOW

For Decision

Risk Management

9. **RISK MANAGEMENT UPDATE**

Report of the Chamberlain.

For Information
(Pages 139 - 186)

Police Authority Matters

10. **DEEP DIVE RISK REVIEW: CR 23 POLICE FUNDING**

Report of Police Authority Treasurer.

For Information
(Pages 187 - 194)

11. **CITY OF LONDON POLICE - INTERNAL AUDIT ACTION PLAN UPDATE**

Report of the Commissioner, City of London Police.

For Decision
(Pages 195 - 204)

Governance

12. **ANNUAL REVIEW OF TERMS OF REFERENCE***

Report of the Town Clerk.

For Decision
(Pages 205 - 208)

13. **QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE**

14. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT**

15. **EXCLUSION OF THE PUBLIC**

RESOLVED: That under Section 100(A) of the Local Government Act 1972, the public be excluded from the meeting for the following items on the grounds that they involve the likely disclosure of exempt information as defined in Part I of the Schedule 12A of the Local Government Act.

For Decision

Part 2 - Non-Public Agenda

16. **NON-PUBLIC MINUTES OF THE PREVIOUS MEETING**

To agree the non-public minutes of the meeting held on 6 November 2018.

For Decision
(Pages 209 - 210)

17. **NON-PUBLIC QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE**

18. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT AND WHICH THE COMMITTEE AGREE SHOULD BE CONSIDERED WHILST THE PUBLIC ARE EXCLUDED**