

Internal Audit Work finalised in 2018-19

No	Department	Main Audit Review	Status	Assurance Rating	R	A	G	Total
1	Corporate	Emergency Planning	FINAL	Amber	0	1	0	1
2	Corporate	Procurement Consultation with Stakeholders	FINAL	Amber	0	2	2	4
3	Corporate	Expenses - Procurement Cards - Petty Cash	FINAL	Amber	0	12	0	12
4	Corporate	GDPR Readiness	FINAL	Red	2	0	5	7
5	Corporate	Programmed Repairs and Maintenance	FINAL	Amber	1	2	1	4
6	Town Clerks	Human Resources – Starters and Leavers	FINAL	Amber	0	9	3	12
7	Town Clerks	Guildhall Club Accounts	FINAL	Green	0	0	0	0
8	Town Clerks	LMA – Collection Management	FINAL	Amber	0	4	1	5
9	Chamberlains	IT – Information Management (Data Storage Management)	FINAL	Amber	0	4	0	4
10	Chamberlains	IT – Cyber Security – Malware Protection	FINAL	Green	0	0	4	4
11	Chamberlains	IT – Information Security - Incident Management	FINAL	Amber	0	4	4	8
12	Chamberlains	IT - Social Media (Horizon Scanning)	FINAL	Green	0	0	2	2
13	Chamberlains	IT Oracle (CBIS) Application	FINAL	Amber	0	3	1	4
14	Chamberlains	IT Contract Management	FINAL	Green	0	0	1	1
15	Chamberlains	IT Change Management	FINAL	Amber	0	1	1	2
16	Chamberlains	City Procurement	FINAL	Amber	0	4	1	5
17	Chamberlains	Main Accounting System (GP/AR/AP)	FINAL	Amber	1	2	1	4
18	Chamberlains	Pensions Administration – City	FINAL	Green	0	1	0	1
19	Chamberlains	Pensions Administration - CoLP	FINAL	Green	0	1	0	1
20	Chamberlains	Payroll	FINAL	Green	0	1	3	4
21	DCCS	Academies	FINAL	Amber	0	2	1	3
22	DCCS	Housing Allocations Lettings and Voids	FINAL	Amber	0	3	0	3
23	DCCS	Health & Social Care Integration	FINAL	Amber	0	3	0	3
24	DCCS	Financial Assessments	FINAL	Amber	0	4	4	8
25	DCCS	Sir John Cass School Income Generation	FINAL	Amber	0	4	2	6
26	DCCS	Community Centre Revenue	FINAL	Red	1	6	1	8
27	DCCS	Mental Health Provision	FINAL	Amber	0	3	0	3

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28	DCCS	Adult Education Skills Service Contract Arrangements	FINAL	Red	4	5	4	13
29	City Surveyor	Service Based Property Contracts	FINAL	Amber	0	3	4	7
30	Open Spaces	Financial Management	FINAL	Amber	0	3	5	8
31	Open Spaces	Performance Measures	FINAL	Amber	0	2	2	4
32	Built Environment	Highways Footpath Repairs	FINAL	Green	0	0	0	0
33	Built Environment	TfL Local Implementation Plan	FINAL	Green	0	0	0	0
34	Built Environment	Highways Assets Register	FINAL	Green	0	1	2	3
35	Built Environment	Section 106 Agreements	FINAL	Red	1	7	5	13
36	M&CP	Consumer Protection Enforcement	FINAL	Amber	0	2	1	3
37	M&CP	Markets Fraud Risk	FINAL	Amber	0	4	5	9
38	M&CP	Licensing – Electronic Database	FINAL	Amber	0	2	2	4
39	Remembrancer	Functions & Guildhall Lettings - Income (Inc. Banking, Safes and Security)	FINAL	Green	0	0	0	0
40	Mansion House	Catering & Hospitality Contract	FINAL	Amber	0	5	0	5
41	City of London Police	Demand Policing and Event Resourcing	FINAL	Amber	0	1	0	1
42	City of London Police	Police Business Continuity Planning	FINAL	Amber	0	5	1	6
43	City of London Police	Police Bank Accounts	FINAL	Red	2	1	2	5
44	City of London Police	Police Seized Goods	FINAL	Red	3	11	0	14
45	City of London Police	Freedom of Information Requests	FINAL	Red	1	3	4	8
46	City of London Police	Key Financial Controls	FINAL	Red	3	10	0	13
47	Barbican Centre	Equality and Inclusion	FINAL	Amber	0	10	4	14
48	GSMD	Student Support	FINAL	Amber	0	10	0	10
49	GSMD	Strategic Planning	FINAL	Amber	0	2	2	4
50	City of London School	Cyber Security	FINAL	Red	2	11	0	13
51	CoL Freemans School	Cyber Security	FINAL	Red	3	11	0	14
					Red	Amber	Green	Total
		Total where assurance provided	Total	51	10	31	10	51
					19.5%	61%	19.5%	100%