

Scheduled Work - Internal Audit Plan 2018-19

Project and Rationale	Planned Days	Current Stage	Assurance Rating	Recommendations			
				Total Red	Total Amber	Total Green	Total
<u>Strategic Planning, Monitoring and Implementation</u> An examination of the Barbican Centre's strategic planning framework, to include arrangements for stakeholder input, formulation of plans/projects to facilitate Plan implementation, development of SMART objectives and related KPIs, and communication. This audit will also look at mechanisms for monitoring of delivery and on-going review.	15	Complete	Moderate	0	2	0	2
<u>Artistic Events: Decision-Making & Evaluation</u> To obtain assurance on the decision-making process for artistic event programming, including evaluation, to ensure alignment with the Barbican's Strategic Goals.	20	Fieldwork Complete	-	-	-	-	-
<u>Commercial Events: Decision-Making & Evaluation</u> To obtain assurance on the decision-making process for commercial event programming, including evaluation, to ensure alignment with the Barbican's Strategic Goals.	10	Fieldwork Complete	-	-	-	-	-

Project and Rationale	Planned Days	Current Stage	Assurance Rating	Recommendations			
				Total Red	Total Amber	Total Green	Total
<u>Events Contracting</u> To obtain assurance in respect of the management of event contracts, including cancellation arrangements, to ensure that the Barbican Centre's interests are safeguarded.	15	Fieldwork Complete	-	-	-	-	-
<u>Financial Monitoring and Income Generation</u> An examination of the adequacy and effectiveness of the control framework for financial monitoring and generation of income.	15	Draft Report	-	-	-	-	-
<u>IT Projects</u> An examination of the control framework related to a sample of IT projects, for example CRM, Agile Working, Ticketing System, in support of the Barbican's strategic objectives.	15	Fieldwork Complete	-	-	-	-	-
<u>Fraud Risk Management</u> A high-level examination of fraud risk management arrangements in operation, focusing on internal fraud areas such as asset misappropriation & corruption.	10	Complete	Moderate	-	7	4	11
TOTAL	100			-	9	4	13