



Corporate risks and actions progress









Report Type: Risks Report





Report Author: Paul Dudley




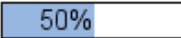

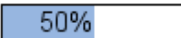




Generated on: 18 October 2016




Rows are sorted by Risk Score


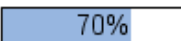



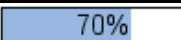




Risk Code & Title	Current Risk rating/score	Direction of risk score (After last review)	Target risk/score	Full risk assessment history (Earliest to latest)	Date risk created/Risk level	Latest review date	Target Date	Risk owner
CR11 Hampstead Heath Ponds - overtopping leading to dam failure					05-Feb-2015	05-Oct-2016	31-Oct-2016	Sue Ireland; Paul Monaghan
Director of Open Spaces	16	No change	8		Corporate			
Action Code & Title	Status Icon	Status	Progress Bar	Action Owner	Latest Review Date	Due Date	Completed Date	
CR11 a Project Director to review budget monthly with Project Board - specific consideration of use of risk contingency		In Progress		Paul Monaghan	05-Oct-2016	31-Oct-2016		
CR11 b Agreement of methods of working with utilities		Completed		Paul Monaghan	19-Aug-2016	01-Mar-2017	05-Oct-2016	
CR11 c Site supervision by DBE and OS to ensure appropriate H&S procedures		Check Progress		Paul Monaghan	05-Oct-2016	31-Oct-2016		
CR11 d Liaison Officer to engage proactively through site notices, media, electronic communications, PPSG and CWG		Check Progress		Paul Monaghan	05-Oct-2016	31-Oct-2016		




CR11 f Daily ecological monitoring by BAM and Heath staff to check for nesting birds		Check Progress		Paul Monaghan	05-Oct-2016	31-Oct-2016	
CR11 g Weekly site meetings to secure clear communication between OS, DBE and BAM		Check Progress		Paul Monaghan	05-Oct-2016	31-Oct-2016	
CR11 h Resolution of issues with adjoining land owners		Completed		Paul Monaghan	05-Oct-2016	31-Jul-2016	05-Oct-2016
CR11 i Approval of designs for Highgate 1		Completed		Paul Monaghan	19-Aug-2016	31-Jul-2016	18-Aug-2016







Risk Code & Title	Current Risk rating/score	Direction of risk score (After last review)	Target risk/score	Full risk assessment history (Earliest to latest)	Date risk created/Risk level	Latest review date	Target Date	Risk owner
CR19 IT Service Provision					14-Jul-2015	06-Oct-2016	31-Dec-2017	Simon Woods
Chamberlain's	16	No change	4		Corporate			

Action Code & Title	Status Icon	Status	Progress Bar	Action Owner	Latest Review Date	Due Date	Completed Date
CR19b JOINT Network refresh programme.		Completed		Simon Woods	20-Jun-2016	31-Dec-2016	06-Oct-2016
CR19c JOINT End User Device Renewal		In Progress		Simon Woods	06-Oct-2016	31-Dec-2016	
CR19d CoLP Investment in any retained IT infrastructure		In Progress		Simon Woods	06-Oct-2016	31-Dec-2016	
CR19e Network Transformation Requirements		In Progress		Simon Woods	06-Oct-2016	28-Feb-2017	
CR19f Network Transformation		In Progress		Simon Woods	06-Oct-2016	31-Mar-2018	



Risk Code & Title	Current Risk rating/score	Direction of risk score (After last review)	Target risk/score	Full risk assessment history (Earliest to latest)	Date risk created/Risk level	Latest review date	Target Date	Risk owner
CR20 Road Safety					23-Oct-2015	18-Oct-2016	30-Apr-2017	Carolyn Dwyer
Department of the Built Environment	16	No change	6		Corporate			




Action Code & Title	Status Icon	Status	Progress Bar	Action Owner	Latest Review Date	Due Date	Completed Date
CR20a Joint Safer Transport Team		In Progress		Steve Presland	18-Oct 2016	31-Jan-2017	
CR20b Permanent Bank Junction redesign		In Progress		Steve Presland	18-Oct 2016	30-Nov-2018	
CR20c Interim Bank Junction redesign		In Progress		Steve Presland	18-Oct 2016	30-Apr-2017	
CR20d Road Safety Communications Strategy		In Progress		Steve Presland	18-Oct 2016	30-Nov-2016	
CR20e City Contracts		Completed		Steve Presland	18-Jul-2016	30-Sep-2016	18-Jul-2016








Risk Code & Title	Current Risk rating/score	Direction of risk score (After last review)	Target risk/score	Full risk assessment history (Earliest to latest)	Date risk created/Risk level	Latest review date	Target Date	Risk owner
CR21 Air Quality					07-Oct-2015	27-Sep-2016	31-Dec-2020	Jon Averbs
Department of Markets and Consumer Protection	16	No change	6		Corporate			




Action Code & Title	Status Icon	Status	Progress Bar	Action Owner	Latest Review Date	Due Date	Completed Date
CR21 001a Implement policies		Completed		Jon Averbs	06-Oct-2016	31-Aug-2016	06-Sep-2016
CR21 001b Review Air Quality		Completed		Jon Averbs	06-Oct-2016	31-Aug-2016	25-Aug-2016
CR21 001c Become an Exemplar		Completed		Jon Averbs	06-Oct-2016	29-Dec-2017	26-Sep-2016






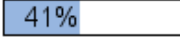

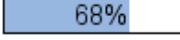
Borough




CR21 001d Develop communications strategy.		In Progress	<div style="border: 1px solid black; width: 80px; height: 15px; background-color: #4f81bd; display: flex; align-items: center; justify-content: center;">30%</div>	Jon Averns	26-Sep-2016	31-Oct-2016
CR21 001e Develop plan		In Progress	<div style="border: 1px solid black; width: 80px; height: 15px; background-color: #4f81bd; display: flex; align-items: center; justify-content: center;">20%</div>	Jon Averns	27-Sep-2016	31-Dec-2018


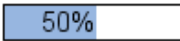

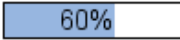

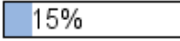
Risk Code & Title	Current Risk rating/score	Direction of risk score (After last review)	Target risk/score	Full risk assessment history (Earliest to latest)	Date risk created/Risk level	Latest review date	Target Date	Risk owner
CR01 Resilience Risk				<div style="border: 1px solid black; width: 100%; height: 15px; background-color: #4f81bd; display: flex; align-items: center; justify-content: center;">[Progress bar]</div>	20-Mar-2015	05-Oct-2016	31-Mar-2017	John Barradell
Town Clerk's	12	No change	4		Corporate			





Action Code & Title	Status Icon	Status	Progress Bar	Action Owner	Latest Review Date	Due Date	Completed Date
CR01A Emergency Exercise		Completed	<div style="border: 1px solid black; width: 80px; height: 15px; background-color: #4f81bd; display: flex; align-items: center; justify-content: center;">100%</div>	Gary Locker	29-Jan-2016	11-Jun-2015	11-Jun-2015
CR01B Corporate review of Business Continuity planning		Completed	<div style="border: 1px solid black; width: 80px; height: 15px; background-color: #4f81bd; display: flex; align-items: center; justify-content: center;">100%</div>	Gary Locker	29-Jan-2016	30-Nov-2015	19-Nov-2015
CR01C Exercise Unified Response		Completed	<div style="border: 1px solid black; width: 80px; height: 15px; background-color: #4f81bd; display: flex; align-items: center; justify-content: center;">100%</div>	Gary Locker	17-Jun-2016	01-Jun-2016	01-Apr-2016
CR01D Elimination of single points of failure, resulting in loss of services		In Progress	<div style="border: 1px solid black; width: 80px; height: 15px; background-color: #4f81bd; display: flex; align-items: center; justify-content: center;">66%</div>	Gary Locker	05-Oct-2016	01-Dec-2016	
CR01E Corporate Review of Security		In Progress	<div style="border: 1px solid black; width: 80px; height: 15px; background-color: #4f81bd; display: flex; align-items: center; justify-content: center;">47%</div>		17-Jun-2016	31-Dec-2016	
CR01F Exercise Mercapton		Check Progress	<div style="border: 1px solid black; width: 80px; height: 15px; background-color: #4f81bd; display: flex; align-items: center; justify-content: center;">74%</div>	Gary Locker	05-Oct-2016	31-Oct-2016	
CR01G Lord Mayor Show Exercise		In Progress	<div style="border: 1px solid black; width: 80px; height: 15px; background-color: #4f81bd; display: flex; align-items: center; justify-content: center;">70%</div>	Gary Locker	05-Oct-2016	12-Nov-2016	




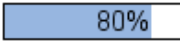

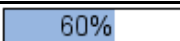
Risk Code & Title	Current Risk rating/score	Direction of risk score (After last review)	Target risk/score	Full risk assessment history (Earliest to latest)	Date risk created/Risk level	Latest review date	Target Date	Risk owner
CR09 Health and Safety Risk					22-Sep-2014	05-Oct-2016	31-Mar-2017	Chrissie Morgan
Town Clerk's	12	No change	8		Corporate			





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CR09A External Verification		Completed		Oliver Sanandres	18-Apr-2016	29-Feb-2016	18-Apr-2016
CR09B Compliance Audits		Completed		Oliver Sanandres	21-Jun-2016	31-Mar-2016	31-Mar-2016
CR09C Compliance Checks 2016-17		In Progress		Oliver Sanandres	19-Aug-2016	31-Mar-2017	
CR09D Implementing external verification recommendations		In Progress		Oliver Sanandres	05-Oct-2016	31-Mar-2017	






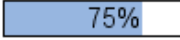
Risk Code & Title	Current Risk rating/score	Direction of risk score (After last review)	Target risk/score	Full risk assessment history (Earliest to latest)	Date risk created/Risk level	Latest review date	Target Date	Risk owner
CR16 Information Security					22-Sep-2014	06-Oct-2016	31-Dec-2016	Matt Lock; Simon Woods
Chamberlain's	12	No change	4		Corporate			





Action Code & Title	Status Icon	Status	Progress Bar	Action Owner	Latest Review Date	Due Date	Completed Date
CR16b Review and strengthen Data Retention, Management and Ownership.		In Progress		Christine Brown	05-Jul-2016	31-Dec-2016	
CR16h Online Training for Members		In Progress		Simon Woods	06-Oct-2016	03-Apr-2017	
CR16i Technical Security Infrastructure		In Progress		Simon Woods	06-Oct-2016	31-Mar-2017	




















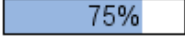

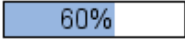
Risk Code & Title	Current Risk rating/score	Direction of risk score (After last review)	Target risk/score	Full risk assessment history (Earliest to latest)	Date risk created/Risk level	Latest review date	Target Date	Risk owner
CR02 Loss of Business Support for the City					22-Sep-2014	05-Oct-2016	31-Mar-2017	John Barradell
Town Clerk's	8	No change	8		Corporate			

Action Code & Title	Status Icon	Status	Progress Bar	Action Owner	Latest Review Date	Due Date	Completed Date
CR02A Special Representative of the City to the EU		Completed		Giles French	22-Aug-2016	01-Sep-2015	01-Sep-2015
CR02B Restructure of the team working on financial and professional services		In Progress		Giles French	22-Aug-2016	31-Jan-2017	
CR02C UK Referendum on membership of the EU		In Progress		Damian Nussbaum	22-Aug-2016	23-Mar-2017	

Risk Code & Title	Current Risk rating/score	Direction of risk score (After last review)	Target risk/score	Full risk assessment history (Earliest to latest)	Date risk created/Risk level	Latest review date	Target Date	Risk owner
CR10 Adverse Political Developments					22-Sep-2014	17-Oct-2016		Paul Double
Remembrancer's	8	No change	8		Corporate			

Action Code & Title	Status Icon	Status	Progress Bar	Action Owner	Latest Review Date	Due Date	Completed Date
CR10a monitoring legislation		In Progress		Paul Double	05-Oct-2016	31-Mar-2017	
CR10b Provision of information		In Progress		Paul Double	05-Oct-2016	31-Mar-2017	
CR10c Stakeholder engagement		In Progress		Paul Double	05-Oct-2016	31-Mar-2017	

Risk Code & Title	Current Risk rating/score	Direction of risk score (After last review)	Target risk/score	Full risk assessment history (Earliest to latest)	Date risk created/Risk level	Latest review date	Target Date	Risk owner
CR17 Safeguarding					22-Sep-2014	06-Oct-2016	31-Mar-2017	Ade Adetosoye
Department of Community & Children's Services	8	No change	8		Corporate			

Action Code & Title	Status Icon	Status	Progress Bar	Action Owner	Latest Review Date	Due Date	Completed Date
CR17b Work with HR to develop training and programmes to support staff		Completed		Chris Pelham	25-Nov-2015	31-Dec-2015	31-Dec-2015
CR17c Safeguarding Awareness Sessions for DCCS Staff		Completed		Chris Pelham	20-Aug-2015	31-Jul-2015	31-Jul-2015
CR17d Raising awareness of Private Fostering, role of Local Authority Designated Officer (LADO)		Completed		Chris Pelham	20-Aug-2015	30-Sep-2015	31-Jul-2015
CR17e Prevent agenda - new guidance		Completed		Chris Pelham	20-Aug-2015	10-Jul-2015	10-Jul-2015
CR17f Review of City of London Safeguarding Policy		Completed		Chris Pelham	18-Jan-2016	31-Dec-2015	31-Dec-2015
CR17g Preparation for Inspection of Children's Services and Ofsted Inspection Framework		Completed		Chris Pelham	18-Apr-2016	31-Mar-2016	31-Mar-2016
CR17h Evaluation of Notice the Signs – awareness raising campaign		Completed		Chris Pelham	25-Nov-2015	31-Oct-2015	25-Nov-2015
CR17i New London wide Adults Safeguarding Procedures agreed		Completed		Chris Pelham	18-Apr-2016	31-Mar-2016	31-Mar-2016
CR17j Promoting role of Local Authority Designated Officer (LADO)		Completed		Chris Pelham	18-Apr-2016	31-Mar-2016	31-Mar-2016
CR17k Review role of Safeguarding Champions		Check Progress		Chris Pelham	09-Aug-2016	31-Oct-2016	
CR17l Online Adult Safeguarding Training		In Progress		Chris Pelham	09-Aug-2016	31-Dec-2016	

CR17m Raise Awareness of financial abuse and scams		In Progress	<div style="width: 50%;"><div style="background-color: #4f81bd; height: 10px;"></div></div> 50%	Chris Pelham	06-Oct-2016	31-Mar-2017	
CR17n Raising Awareness of Children Missing Education		Completed	<div style="width: 100%;"><div style="background-color: #4f81bd; height: 10px;"></div></div> 100%	Chris Pelham	15-Oct-2016	30-Sep-2016	06-Oct-2016
CR17o Review of Safeguarding Arrangement in Independent schools within the City of London		In Progress	<div style="width: 50%;"><div style="background-color: #4f81bd; height: 10px;"></div></div> 50%	Ade Adetosoye	06-Oct-2016	02-Dec-2016	

Risk Code & Title	Current Risk rating/score	Direction of risk score (After last review)	Target risk/score	Full risk assessment history (Earliest to latest)	Date risk created/Risk level	Latest review date	Target Date	Risk owner
CR14 Funding Reduction					22-Jun-2015	06-Oct-2016	31-Mar-2017	Peter Kane
Chamberlain's	6	No Change	4		Corporate			

Action Code & Title	Status Icon	Status	Progress Bar	Action Owner	Latest Review Date	Due Date	Completed Date
CR14b SBR implementation – Departmental Savings and cross-cutting reviews.		In Progress	<div style="width: 70%;"><div style="background-color: #4f81bd; height: 10px;"></div></div> 70%	Caroline Al-Beyerty	06-Oct-2016	31-Mar-2017	
CR14h Develop Efficiency Plan		Overdue	<div style="width: 90%;"><div style="background-color: #4f81bd; height: 10px;"></div></div> 90%	Peter Kane	06-Oct-2016	14-Oct-2016	
CR14i Develop strategy to address projected Police deficits		In Progress	<div style="width: 30%;"><div style="background-color: #4f81bd; height: 10px;"></div></div> 30%	Caroline Al-Beyerty	06-Oct-2016	31-Mar-2017	

Risk icon legend

- Red risk
- Amber risk
- Green risk

Action status icon

- Action overdue
- Action nearing review date
- Action in progress