

Committee(s)	Dated:
IT Sub-Committee – For Information	26 th March 2018
Subject: IT Division Risk Update	Public
Report of: The Chamberlain	For Information
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Summary

All IT Risks are now in the Risk Management System, with actions included, for the ongoing improvement and continuing assessment to the Management of Risk within the IT Division.

All the IT risks are now being tracked in the corporate risk management system.

The IT Division currently holds 14 risks, a decrease of one from the previous period. There are currently no RED risks.

One additional risk has been added to the register, two risks have been fully mitigated with risk actions being completed reducing the score to target level. All risks continue to be monitored and reviewed.

There are no extreme impact risks, there are 10 major impact and 4 serious impact risks.

The additional risk covers Transformation Benefits Realisation – ensuring all financial and service-based benefits are achieved.

IT currently hold 2 risks on the Corporate Risk Register, whilst delivering the IT requirements of the IT workstream to mitigate the GDPR Corporate risk which is owned by Michael Cogher, the Comptroller and City Solicitor and the Corporation's Data Protection Officer

Summary of Corporate Risks

- CR 16 – Information Security - Breach of IT Systems resulting in unauthorised access to data by internal or external sources. **Red** moving to Amber in April 18 and Green in July 18 (See separate paper).
- CR 19 – IT Service Provision - For the Corporation, poor performance of IT Service and for the Police critical failure of the Police IT Service. Amber moving to Green in July 18.
- CR-25 – General Data Protection Regulation – The project work is progressing to plan and IT are delivering the GDPR IT Workstream. This should move to green at the end of May 18.

Periodic review meetings are being held with the relevant IT staff to ensure all risks are managed and reviewed in a timely manner.

Recommendation(s)

Members are asked to:

- Note the report.

Main Report

Background

1. Risk remains a key focus for the IT Division and we are continuing to ensure that it drives the priority for project works and Change Management decisions. Regular reviews will ensure the ongoing successful management of these risks across the division

Current Position

2. The IT Division Currently holds 2 risks on the Corporate Risk Register CR-16 and Cr-19.

The IT Division currently holds 14 risks, none of which are scored as Red.

All risks have owners, clear actions, with target dates to enable focussed management, tracking and regular and consistent reviews.

Current Status

3. This period the IT Risk Register has seen the following activity:

- 1 Additional risk added
- 3 Scoring changes
- 2 Risks Deactivated.

The remainder are static and continue to be monitored alongside the relevant on-going projects.

Additional Risk

4. CHB IT 022 Transformation – Benefits Realisation – CoL will not realise the benefits, including savings from contracts and storage and a more effective service to the business with a focus on service management.

Score Changes

5. Score Increases:

- CHB IT 006 – Service Operating Model (SOM) – This risk remains Amber. The score increased as the SOM is still being implemented with a completion date for all the required changes by end of May 18.
- CHB IT 007 – Target Operating Model (TOM) – This risk remains Amber. The score increased as the completion of the TOM changes is likely to be by early June 18.

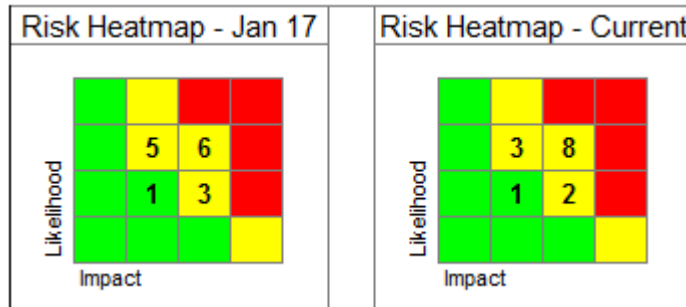
6. Score Decreases:

- CHB IT 001 – Resilience – Power and Infrastructure – This risk has reduced to Green. The score decreased from 12 to 4. Reduced to Green due to Transformation work that means the environment is now cloud based and is no longer reliant on local infrastructure thus minimising the requirements for UPSs. Although Green this risk will continue to be monitored.

Mitigated Risks

7. Two risks are now fully mitigated due to actions being completed thus reducing the impact and likelihood of the risk:
 - CHB IT 005 – IT Architecture – Reduced due to the production of an Architectural Reference Model and the Migration of services to the cloud
 - CHB IT 017 – Email Archiving and Enterprise Vault – Users have been migrated to an alternative system, Enterprise Vault will be switched off in due course.

The current headline figures for the identified risks in the Division are:



8. Further breakdown of current Division risks:

		Trend
Extreme Impact:		
Risks with "likely" likelihood and "extreme" impact:	0	↔
Risks with "unlikely" likelihood and "extreme" impact:	0	↔
Risks with "rare" likelihood and "extreme" impact:	0	↔
Major Impact:		
Risks with "likely" likelihood and "major" impact:	0	↔
Risks with "possible" likelihood and "major" impact:	8	↑
Risks with "Unlikely" likelihood and "major" impact:	2	↓
Serious Impact:		
Risks with "likely" likelihood and "serious" impact:	0	↔
Risks with "possible" likelihood and "serious" impact:	3	↓
Risks with "unlikely" likelihood and "serious" impact:	1	↔

9. Next steps

- Ensuring all actions are up to date and allocated to the correct responsible owners
- Ensuring all members of the IT division including suppliers are aware of how Risk is managed within the Corporation and have a mechanism to highlight areas of concern across the estate.

1. IT management processes, including Change Management, Problem Management, Continuous Improvement and Incident Management will all now reference or identify risk to ensure that Division risks are identified, updated and assessed on an ongoing basis, so the Risk register remains a live system, rather than a periodically updated record.

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