



Audit and Risk Management Committee

Date: WEDNESDAY, 13 JANUARY 2021

Time: 2.00 pm

Venue: VIRTUAL PUBLIC MEETING (ACCESSIBLE REMOTELY)

Members:

Alexander Barr (Chairman)	Deputy Jamie Ingham Clark (Ex-Officio Member)
Alderman Ian Luder (Deputy Chairman)	Paul Martinelli
Hilary Daniels (Deputy Chairman)	Caroline Mawhood (External Member)
Randall Anderson	Jeremy Mayhew (Ex-Officio Member)
Chris Boden	Deputy Andrien Meyers
Anne Fairweather	John Petrie
Marianne Fredericks (Ex-Officio Member)	Ruby Sayed
Alderman Prem Goyal	Dan Worsley (External Member)

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Accessing the virtual public meeting

Members of the public can observe this virtual public meeting at the below link:

<https://youtu.be/7LYGbzYcUvo>

This meeting will be a virtual meeting and therefore will not take place in a physical location following regulations made under Section 78 of the Coronavirus Act 2020. A recording of the public meeting will be available via the above link following the end of the public meeting for up to one municipal year. Please note: Online meeting recordings do not constitute the formal minutes of the meeting; minutes are written and are available on the City of London Corporation's website. Recordings may be edited, at the discretion of the proper officer, to remove any inappropriate material.

John Barradell
Town Clerk and Chief Executive

AGENDA

Part 1 - Public Agenda

1. **APOLOGIES**
2. **MEMBERS' DECLARATIONS UNDER THE CODE OF CONDUCT IN RESPECT OF ITEMS ON THE AGENDA**
3. **MINUTES OF THE PREVIOUS MEETING**
To agree the public minutes and non-public summary of the previous meeting held on 3 November 2020.

For Decision
(Pages 1 - 8)
4. **OUTSTANDING ACTIONS OF THE COMMITTEE**
Member are asked to note the Committee's Outstanding Actions List.

For Information
(Pages 9 - 10)
5. **COMMITTEE WORK PROGRAMME**
Member are asked to note the Committee's Work Programme.

For Information
(Pages 11 - 12)

Internal Audit

6. **INTERNAL AUDIT PROGRESS REPORT**
Report of the Head of Audit and Risk Management.

For Information
(Pages 13 - 18)
7. **DRAFT INTERNAL AUDIT PLAN 2021-22**
Report of the Head of Audit & Risk Management.

For Decision
(Pages 19 - 24)
8. **INTERNAL AUDIT REVIEW OF OPERATIONAL PROPERTY MANAGEMENT - STATUS UPDATE**
Report of the Head of Audit & Risk Management.

For Information
(Pages 25 - 26)

Risk Management

9. **CORPORATE RISK MANAGEMENT STRATEGY**
Report of the Chamberlain.
(N.B. – To be read in conjunction with the non-public appendices at Item 15)

For Information
(Pages 27 - 60)

10. **DEEP DIVE: CR21 AIR QUALITY**
Report of the Director of Markets & Consumer Protection.
For Information
(Pages 61 - 84)
11. **QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE**
12. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT**
13. **EXCLUSION OF THE PUBLIC**
MOTION, that – under Section 100(A) of the Local Government Act 1972, the public be excluded from the meeting for the following items on the grounds that they involve the likely disclosure of exempt information as defined in Part I of the Schedule 12A of the Local Government Act.
For Decision

Part 2 - Non-Public Agenda

14. **NON-PUBLIC MINUTES OF THE PREVIOUS MEETING**
To agree the non-public minutes of the previous meeting held on 3 November 2020.
For Decision
(Pages 85 - 86)

Risk Management

15. **CORPORATE RISK MANAGEMENT STRATEGY: NON-PUBLIC APPENDICES**
To be read in conjunction with the report at Item 9.
For Information
(Pages 87 - 100)
16. **DEEP DIVE REVIEW OF CR23 - POLICE FUNDING**
Joint report of the Chamberlain and the Commissioner of the City of London Police.
For Information
(Pages 101 - 108)

Governance

17. **EXTERNAL MEMBER: RECOMMENDATION FROM THE NOMINATIONS SUB-COMMITTEE**
Report of the Town Clerk & Chief Executive.
For Decision
(Pages 109 - 112)
18. **NON-PUBLIC QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE**
19. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT AND WHICH THE COMMITTEE AGREE SHOULD BE CONSIDERED WHILST THE PUBLIC ARE EXCLUDED**