



Audit and Risk Management Committee

Date: TUESDAY, 30 NOVEMBER 2021

Time: 2.00 pm

Venue: COMMITTEE ROOMS, 2ND FLOOR, WEST WING, GUILDHALL

Members:

Alexander Barr (Chairman)	Deputy Jamie Ingham Clark (Ex-Officio Member)
Hilary Daniels (Deputy Chairman)	Gail Le Coz (External Member)
Alderman Prem Goyal (Deputy Chairman)	Paul Martinelli
Rehana Ameer	Jeremy Mayhew (Ex-Officio Member)
Randall Anderson	Deputy Andrien Meyers
Christopher Boden	John Petrie
Anne Fairweather	Ruby Sayed
Marianne Fredericks (Ex-Officio Member)	Dan Worsley (External Member)

Enquiries: Ben Dunleavy
ben.dunleavy@cityoflondon.gov.uk

Lunch will be served in Guildhall Club at 1pm

The meeting will be live streamed at: <https://youtu.be/UmKHTKDww08>

John Barradell
Town Clerk and Chief Executive

AGENDA

Part 1 - Public Agenda

1. **APOLOGIES**

2. **MEMBERS' DECLARATIONS UNDER THE CODE OF CONDUCT IN RESPECT OF ITEMS ON THE AGENDA**

3. **MINUTES OF THE PREVIOUS MEETING**

To agree the public minutes and non-public summary of the previous meeting held on 5 October 2021.

For Decision
(Pages 7 - 10)

4. **OUTSTANDING ACTIONS OF THE COMMITTEE**

Member are asked to note the Committee's Outstanding Actions List.

For Information
(Pages 11 - 12)

5. **COMMITTEE WORK PROGRAMME**

Member are asked to note the Committee's Work Programme.

For Information
(Pages 13 - 14)

Governance

6. **ANNUAL GOVERNANCE STATEMENT 2020/21**

Joint Report of the Town Clerk and Chief Executive and the Chamberlain.

For Decision
(Pages 15 - 28)

Anti Fraud and Corruption

7. **ANTI-FRAUD & INVESTIGATIONS - 2021/22 MID-YEAR UPDATE REPORT**

Report of the Head of Audit and Risk Management.

For Decision

External Audit

8. **CITY'S CASH FINANCIAL STATEMENTS 2020-21**

Report of the Chamberlain.

For Decision
(Pages 45 - 52)

9. **CITY FUND AND PENSION FUND STATEMENT OF ACCOUNTS 2020-2021**

Report of the Chamberlain.

For Decision
(Pages 53 - 58)

10. **BRIDGE HOUSE ESTATES ANNUAL REPORT AND FINANCIAL STATEMENTS 2020/21**

Joint report of the Managing Director of Bridge House Estates and the Chamberlain.

For Decision
(Pages 59 - 64)

11. **SUNDRY TRUSTS ANNUAL REPORT AND FINANCIAL STATEMENTS 2020-21**

Report of the Chamberlain.

For Decision
(Pages 65 - 68)

Risk Management

12. **DEEP DIVE RISK REVIEWS**

For Information

- a) Deep Dive: CR02 Loss of Business Support (Pages 69 - 74)
Report of the Director of External Relations, Innovation and Growth.
- b) Deep Dive: CR29 Information Management (Pages 75 - 84)
Report of the Chief Operating Officer.

External Inspections

13. **HMICFRS REPORT**

Report of the Commissioner of Police.

For Information
(Pages 85 - 90)

14. **QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE**

15. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT**

16. **EXCLUSION OF THE PUBLIC**

MOTION, that – under Section 100(A) of the Local Government Act 1972, the public be excluded from the meeting for the following items on the grounds that they involve the likely disclosure of exempt information as defined in Part I of the Schedule 12A of the Local Government Act.

For Decision

Part 2 - Non-Public Agenda

17. **NON-PUBLIC MINUTES OF THE PREVIOUS MEETING**

To agree the non-public minutes of the previous meeting held on 5 October 2021.

For Decision
(Pages 91 - 92)

18. **NON-PUBLIC OUTSTANDING ACTIONS OF THE COMMITTEE**

Members are asked to note the Committee's Non-Public Outstanding Actions List.

For Information
(Pages 93 - 94)

19. **NON-PUBLIC QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE**

20. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT AND WHICH THE COMMITTEE AGREE SHOULD BE CONSIDERED WHILST THE PUBLIC ARE EXCLUDED**

Part 3 - Confidential Agenda

21. **CONFIDENTIAL MINUTES OF THE LAST MEETING**

To agree the confidential minutes of the previous meeting held on 5 October 2021.

For Decision

22. **EXTERNAL AUDITOR APPOINTMENT**

Joint report of the Independent Auditor Appointment Panel and the Chamberlain.

For Decision