
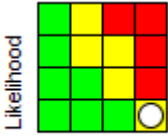



DBE Corporate & Departmental Risks *(Port Health & Environmental Services Committee)*

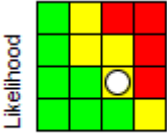
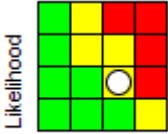

APPENDIX 2

Report Author: Richard Steele

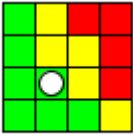
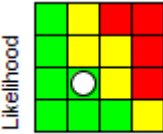

Generated on: 29 April 2019

Risk no, Title, Creation date, Owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
DBE-TP-01 Road Traffic Collision caused by City of London staff or contractor who is unfit to drive while on City business 13-Mar-2015 Vince Dignam	Cause: A member of staff/contractor who is unfit or unqualified to drive causes ... Event: a road traffic collision which results in ... Impact: death or injury; financial claim	 Likelihood Impact	8	97.6% of City of London staff have completed Driver Check and 98.4% of staff who have been identified, through Driver Check, as drivers (or managers of drivers) have completed the Corporate Transport Policy online training course. The overall compliance is now over 95.7%. 29 Apr 2019	 Likelihood Impact	8	31-Dec-2019	 Constant

Action no, Title,	Action description	Latest Note	Action owner	Latest Note Date	Due Date
DBE-TP-01c Business As Usual Mitigation	Monitor the percentage of City of London staff who have completed Driver Check and ensure that it remains above 92.5% Monitor the percentage of City of London staff who have been identified, through Driver Check, as drivers (or managers of drivers) who have completed the Corporate Transport Policy online training course and ensure that it remains above 92.5%. Monitor overall completion rates for both driver check and the online training course and ensure that it remains above 92.5%. Monitor the collection and periodic monitoring of driver licence details (and, in the case of grey fleet drivers, vehicle details).	97.6% of City of London staff have completed Driver Check and 98.4% of staff who have been identified, through Driver Check, as drivers (or managers of drivers) have completed the Corporate Transport Policy online training course. The overall compliance is now over 95.7%.	Jim Graham	29-Apr-2019	31-Dec-2019

Risk no, Title, Creation date, Owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
DBE-TP-13 Cleansing & Waste Contract - Procurement Timings 29-Dec-2017 Jim Graham	Cause: Delay to procurement or mobilisation process. Events: (1) tender process delays; (2) Contractual delays; (3) mobilisation delays. Impact: No cleansing or waste service on the day(s) following the termination of the existing contract.	 Likelihood Impact	8	THIS RISK IS CLOSED (29 Apr 19) The mobilisation (with a complete fleet of ULEZ complaint vehicles/plant was available on Day 1) was effected. The contract roll out is as expected and on track with no major concerns. In the light of this, this risk is now being closed and management of the contract will be treated as Business As Usual. 29 Apr 2019	 Likelihood Impact	8	06-Apr-2019	 Constant

Action no, Title,	Action description	Latest Note	Action owner	Latest Note Date	Due Date
DBE-TP-13f Mobilisation - Vehicles & Plant	In order to ensure that vehicles and plant are available at start of contract, the bidders will, at competitive dialogue stage, be required to provide details of procurement/hire/other to demonstrate how they would have vehicles/plant on day 1 of the contract.	A complete fleet of ULEZ complaint vehicles/plant was available on Day 1 of the contract. The service is being delivered with an interim fleet of new hired vehicles which will be re-allocated to other Veolia Contracts once the new permanent electric / low emission fleet begins to arrive in July 2019.	Zahur Khan	29-Apr-2019	05-Apr-2019

Risk no, Title, Creation date, Owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
DBE-TP-07 A major incident, such as flooding or fire, makes Walbrook Wharf unusable as a depot 27-Mar-2015 Zahur Khan	Cause: A major incident, such as flooding or fire Event: Walbrook Wharf unusable as a depot Impact: Unable to clean streets; collect waste or maintain City of London Police vehicles. City of London unable to meet its contractual arrangements with third parties who use the depot for their commercial purposes.	 Likelihood	4	Due to early contract termination the proposed Q1 2018 exercise was cancelled. Business continuity has been assessed through the procurement dialogue for the new contract and an exercise will be conducted with the new contractor Q3 2019. The new contract commences Q2 2019. 29 Aug 2018	 Likelihood	4	31-Dec-2019	 Constant

Action no, Title,	Action description	Latest Note	Action owner	Latest Note Date	Due Date
DBE-TP-07a Business Continuity exercise	Conduct annual DBE business continuity exercise	Due to early contract termination the proposed Q1 2018 exercise was cancelled. Business continuity has been assessed through the procurement dialogue for the new contract and an exercise will be conducted with the new contractor Q3 2019. The new contract commences Q2 2019.	Zahur Khan	29-Aug-2018	30-Sep-2019