

City of London: Projects Procedure Corporate Risks Register

Project name: Fire Safety Doors - Great Arthur House

Unique project identifier: PV11983

Total est cost (exc risk) £1993000

Corporate Risk Matrix score table

PM's overall risk rating	Low
Avg risk pre-mitigation	5.1
Avg risk post-mitigation	2.9
Red risks (open)	0
Amber risks (open)	6
Green risks (open)	3

	Minor impact	Serious impact	Major impact	Extreme impact
Likely	4	8	16	32
Possible	3	6	12	24
Unlikely	2	4	8	16
Rare	1	2	4	8

Costed risks identified (All)

£0.00	0%
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Costed risk as % of total estimated cost of project

Costed risk pre-mitigation (open)

£0.00	0%
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Costed risk post-mitigation (open)

£0.00	0%
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" "

Costed Risk Provision requested

£0.00	0%
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CRP as % of total estimated cost of project

- (1) Compliance/Regulatory
- (2) Financial
- (3) Reputation
- (4) Contractual/Partnership
- (5) H&S/Wellbeing
- (6) Safeguarding
- (7) Innovation
- (8) Technology
- (9) Environmental
- (10) Physical

Number of Open Risks	Avg Score	Costed impact	Red	Amber	Green
1	8.0	£0.00	0	1	0
4	4.8	£0.00	0	3	1
1	3.0	£0.00	0	0	1
1	6.0	£0.00	0	1	0
0	0.0	£0.00	0	0	0
0	0.0	£0.00	0	0	0
0	0.0	£0.00	0	0	0
0	0.0	£0.00	0	0	0
0	0.0	£0.00	0	0	0
2	5.0	£0.00	0	1	1

Issues (open)

0

Open Issues

Extreme	Major	Serious	Minor
0	0	0	0
0	0	0	0

All Issues

0

All Issues

Cost to resolve all issues (on completion)

£0.00

Total CRP used to date

£0.00

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Project Name: Fire Safety Doors - Great Arthur House		PM's overall risk rating: Low	CRP requested this gateway: £ -	Average unmitigated risk: 5.1	Open Risks: 9
Unique project identifier: PV11983		Total estimated cost (exc risk): £ 1,993,000	Total CRP used to date: £ -	Average mitigated risk score: 2.9	Closed Risks: 0

General risk classification										Mitigation actions										Ownership & Action				
Risk ID	Gateway	Category	Description of the Risk	Risk Impact Description	Likelihood Classification pre-mitigation	Impact Classification pre-mitigation	Risk score	Costed Impact pre-mitigation (£)	Costed Risk Provision requested Y/N	Confidence in the estimation	Mitigating actions	Mitigation cost (£)	Likelihood Classification post-mitigation	Impact Classification post-mitigation	Costed impact post-mitigation (£)	Post-Mitigation risk score	CRP used to date	Use of CRP	Date raised	Named Departmental Risk Manager/Coordinator	Risk owner (Named Officer or External Party)	Date Closed OR/Realised & moved to Issues	Comment(s)	
R1	3	(10) Physical	Residents refuse access.	Should residents refuse access to their homes in order to complete works, it would cause cost and time delays to the project.	Unlikely	Serious	4	£0.00	N	B – Fairly Confident	To mitigate against this, early engagement will be carried out with residents to make them aware of the upcoming works. Explanation will be provided of the benefits of the works, and any queries or concerns that residents may have will be answered.	£0.00	Rare	Serious	£0.00	2	£0.00		N	14/05/2019		Jason Crawford		
R2	3	(2) Financial	Increase in pricing during works	A contractor may attempt to re-price for the works during the contract causing increase in project costs.	Possible	Serious	6	£0.00	N	B – Fairly Confident	A clear contract will be used in the first instance, and contract management will be carried out throughout the project to keep a focus on cost and delivery to budget. Project Managers will be alert to delays to the project, particularly those caused by the City of London as these can be used by contractors as leverage for increases in cost.	£0.00	Unlikely	Serious	£0.00	4	£0.00		N	14/05/2019		Jason Crawford		
R3	3	(2) Financial	Challenge to tender awarding process	An unsuccessful contractor may challenge the tender process.	Rare	Minor	1	£0.00	N	B – Fairly Confident	A robust tendering process will be put in place in conjunction with the CLPS. Should a challenge arise, advice will be sought from CLPS and legal services to ensure the dispute is resolved rapidly and successfully.	£0.00	Rare	Minor	£0.00	1	£0.00		N	14/05/2019		Jason Crawford		
R4	3	(4) Contractual/Partnership	Contractor Financial Viability	A contractor may cease to be financially viable during the progression of the project. At a minimum, this would cause delays to the project, it could also cause a temporary suspension to the project. These impacts would cause the cost of the project to increase.	Possible	Serious	6	£0.00	N	B – Fairly Confident	Advice will be sought from CLPS when assessing and appointing a contractor to ensure they have a sound financial position and track record. Should a contractor cease to be viable, assistance will be sought from legal services to protect the City of London's interests.	£0.00	Rare	Serious	£0.00	2	£0.00		N	14/05/2019		Jason Crawford		
R5	3	(2) Financial	Significant Change in sustainability sector	Should there be a significant change in the sustainability sector between the design and construction of the project this may lead to out-of-date installations being put into our properties where better solutions are available.	Possible	Serious	6	£0.00	N	B – Fairly Confident	Care will be taken to stress the importance of longevity of design when the scheme is being planned.	£0.00	Rare	Serious	£0.00	2	£0.00		N	14/05/2019		Jason Crawford		
R6	3	(3) Reputation	Section 20 Challenges.	Leaseholder(s) may challenge the Section 20 process or appointment of contractor on the grounds of price, quality or any other reason.	Possible	Minor	3	£0.00	N	B – Fairly Confident	This will be mitigated by undertaking a tightly controlled Section 20 process, explaining reasons for the work and justifying the choice of contractor. Should a challenge be received, legal advice will be sought and the appropriate process followed to resolve the matter as soon as possible and minimise delays to the project.	£0.00	Rare	Minor	£0.00	1	£0.00		N	14/05/2019		Jason Crawford		
R7	3	(10) Physical	Works more extensive than planned for	Should the extent of works required be greater than planned for, the project would be delayed and costs would increase.	Possible	Serious	6	£0.00	N	B – Fairly Confident	Detailed surveys will be carried out early in the project to determine the level of works required, and enable the costing to be as specific as possible.	£0.00	Unlikely	Serious	£0.00	4	£0.00		N	14/05/2019		Jason Crawford		
R8	3	(1) Compliance/Regulatory	Recommendations not approved	The consultant is being appointed to make recommendations for the works that could be carried out to these properties. Should none of the recommendations be approved by planning / heritage England this could delay the project.	Likely	Serious	8	£0.00	N	B – Fairly Confident	Early engagement with Cot, planning and Heritage England to seek advice on planning restrictions, and co-ordination with design consultant to ensure appropriate options are considered.	£0.00	Possible	Serious	£0.00	6	£0.00		N	14/05/2019		Jason Crawford		

