

## Internal Audit Work 2019-20

Project	Current Stage	Assurance Rating	Recommendations			
			Total Red	Total Amber	Total Green	Total
<u>Financial Monitoring</u> An examination of the arrangements in operation to seek opportunities to maximise income and manage the financial performance of the Centre.	Complete	Substantial	0	0	2	2
<u>IT Projects</u> An examination of the framework for delivery of IT Projects to support the achievement of the Barbican's strategic objectives.	Complete	Moderate	0	2	3	5
<u>Artistic &amp; Creative Learning Events: Contracting</u> An examination of the arrangements for management of artistic event contracts, including cancellation arrangements, to ensure that contractual requirements are met.	Complete	Moderate	0	1	7	8
<u>Artistic &amp; Creative Learning Events: Decision-Making &amp; Evaluation</u> An examination of the decision-making processes related to event programming, including risk assessment, approval arrangements and demonstration of alignment with the strategic vision and associated goals, as well as the monitoring and reporting of event activity to senior management to enable evaluation of outcomes, including financial performance, against the Barbican's strategic goals.	Complete	Substantial	0	0	0	0

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<u>Commercial Events: Decision-Making, Contracting &amp; Evaluation</u> As above in respect of the two Artistic Events audits.	Complete	Substantial	0	0	0	0
<u>Corporate Memberships &amp; Sponsorship</u> An examination of the control framework in respect of: the income generated in respect of Corporate Sponsorship and Corporate Memberships, consideration of value for money in the administration of these fundraising schemes, and controls for ensuring that activities are in line with the Barbican's Strategic Plan and the Corporate Plan.	Complete	Moderate	0	3	1	4
<u>Data Security</u> An examination of arrangements in operation for mitigating the risk of cyber security breach to obtain assurance that arrangements are in place to manage both current and emerging issues.	Complete	Moderate	0	2	4	6
<u>Facilities Management &amp; Maintenance</u> An examination of the Facilities Management arrangements, including structures, contracts and policies, and the maintenance arrangements to ensure that we provide suitable facilities that are safe, compliant and well-managed.	Deferred to 2020-21	-	-	-	-	-
<u>Security</u> An examination of the arrangements in operation for management of physical security of the Barbican Centre.	Deferred to 2020-21	-	-	-	-	-
		<b>TOTAL</b>	<b>0</b>	<b>8</b>	<b>17</b>	<b>25</b>