

Follow-up Programme of Activity

Department	Audit	Lead Auditor	Assurance Rating	Recommendations Made			Follow-up Date	Follow-up Outcome				Current Assurance Rating (30 June 2020)
				Red	Amber	Green		Outstanding Issues			Total Outstanding Recs	
								Red	Amber	Green		
Barbican Centre	DATA SECURITY	Nirupa	Amber	0	2	4	Completed	0	0	0	0	Green
Barbican Centre	COMMERCIAL EVENTS	Nirupa	Green	0	0	0	Completed	0	0	0	0	Green
Chamberlain's	IT - MOBILE DEVICES	Nirupa	Amber	0	8	3	Completed	0	0	0	0	Green
Chamberlain's	COUNCIL TAX	Nirupa	Green	0	0	1	Completed	0	0	0	0	Green
City of London School	SCHOOL FEES	Nirupa	Green	0	1	1	Completed	0	0	0	0	Green
City Surveyors	COMMERCIAL LEASES	Cirla	Amber	0	3	1	Completed	0	0	0	0	Green
City Surveyors	CLFS ENABLING WORKS	Ryan	Red	4	0	0	Completed	0	0	0	0	Green
Community and Children's Services	ASYLUM SEEKERS	Martha	Red	4	5	0	Completed	0	0	0	0	Green
Corporate Wide	CORPORATE-WIDE BUDGETARY CONTROL	Ryan	Amber	0	2	0	Completed	0	0	0	0	Green
Guildhall School	STUDENT PETTY CASH	Ryan	Green	0	3	8	Completed	0	0	0	0	Green
Town Clerk's	ECONOMIC DEVELOPMENT	Nirupa	Amber	0	4	1	Completed	0	0	0	0	Green
Town Clerk's	RESPONSIBLE BUSINESS STRATEGY	Nirupa	Amber	0	4	0	Completed	0	0	0	0	Green
Guildhall School	INCOME GENERATION	Cirla	Amber	0	3	0	Completed	0	0	0	0	Green
Chamberlain's	CORPORATE-WIDE - SUPPLIERS FINANCIAL HEALTH/RESILIENCE	Ryan	Amber	0	6	2	Completed	0	0	0	0	Green
City of London Police	FLEET STREET	Ryan	Amber	0	2	0	Completed	0	0	0	0	Green
Barbican Centre	BARBICAN RETAIL AND BARS	Martha	Amber	1	9	1	Completed	0	0	0	0	Green
Chamberlain's	PROCESS MAPS	Jerry	Green	0	0	4	Completed	0	0	0	0	Green
City of London Police	INTERPRETERS FEES	Jerry	Amber	0	2	2	Completed	0	0	0	0	Green
City of London School for Girls	BUDGET ESTIMATING	Cirla	Amber	0	2	0	Completed	0	0	0	0	Green
Barbican Centre	FRAUD RISK MANAGEMENT	Ryan	Amber	0	7	4	Completed	0	0	0	0	Green
Markets and Consumer Protection	HARC and Animal Welfare	Nirupa	Amber	0	5	6	Completed	0	0	0	0	Green
Built Environment	PROJECT TEAM	Jerry	Green	0	1	0	Completed	0	0	0	0	Green
Barbican Centre	FINANCIAL MONITORING	Jerry	Green	0	0	2	Completed	0	0	2	0	Green
Open Spaces	MAJOR INCIDENT PLANNING	Jerry	Green	0	0	1	Completed	0	0	0	0	Green
Chamberlain's	CORPORATE -WIDE - COMMERCIAL MANAGER SCORECARD PROCEDURES	Ryan	Amber	0	6	4	Jun-20	0	3	0	3	Amber
City of London Freemens School	CYBER SECURITY	Nirupa	Red	3	11	0	Jun-20	1	3	0	4	Amber
Open Spaces	KEATS HOUSE - INCOME AND EXPENDITURE	Martha	Amber	1	1	5	Aug-20	1	1	5	7	Amber
Barbican Centre	STRATEGIC PLANNING, MONITORING & IMPLEMENTATION	Martha	Amber	0	2	0	Sep-20	0	1	0	1	Amber
Built Environment	CORPORATE-WIDE HIGHWAYS REPAIRS AND MAINTENANCE CONTRACT	Ryan	Green	0	1	0	Sep-20	0	1	0	1	Green
City of London School	CYBER	Nirupa	Red	2	11	0	Sep-20	0	1	0	1	Amber
City of London School	HEALTH & SAFETY	Cirla	Amber	0	2	0	Sep-20	0	2	0	2	Amber
Town Clerk's	HUMAN RESOURCES - STAFF SICKNESS MANAGEMENT	Martha	Amber	0	3	1	Sep-20	0	3	1	4	Amber
City of London Freemens School	CLFS - KEY FINANCIAL CONTROLS	Martha	Amber	0	2	0	Sep-20	0	1	0	1	Green
Aldgate School	KEY CONTROL PROCESSES	Martha	Amber	0	5	1	Oct-20	0	1	1	2	Amber
City of London School for Girls	CYBER SECURITY	Nirupa	Red	3	12	1	Oct-20	0	3	0	3	Amber
Guildhall School	BUDGET-SETTING & FINANCIAL MANAGEMENT	Cirla	Amber	0	1	1	Oct-20	0	1	1	2	Amber
Chamberlain's	IT ASSET MANAGEMENT	Nirupa	Amber	0	17	4	Dec-20	0	0	1	1	Green
City of London Police	FRONT DESKS	Jerry	Amber	1	4	0	Jan-21					
Open Spaces	Income collection and Banking	Nirupa	Amber	0	5	5	Jan-21					
Town Clerk's	PUBLIC SECTOR EQUALITIES DUTY	Jerry	Amber	0	3	1	Jan-21					
Aldgate School	CASS CHILDREN & FAMILY CENTRE	Ryan	Amber	0	1	0	Jan-21					
City Surveyors	FRAUD RISK MANAGEMENT	Ryan	Amber	0	3	1	Jan-21					
City Surveyors	FIRE SAFETY RISK MANAGEMENT	Ryan	Amber	0	6	1	Jan-21					
Barbican Centre	EVENTS CONTRACTING (INCLUDING CANCELLATION ARRANGEMENTS)	Cirla	Amber	0	1	7	Jan-21					
Chamberlain's	MAZARS - ITIL SERVICE DESK	Nirupa	Green	0	0	3	Jan-21					
Chamberlain's	MAZARS - SHAREPOINT	Nirupa	Amber	0	3	1	Jan-21					
Chamberlain's	MAZARS - FIREWALL/VPN	Nirupa	Green	0	1	1	Jan-21					

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Guildhall School	FRAUD RISK MANAGEMENT	Ryan	Amber	0	6	1	Jan-21						
Chamberlain's	MAZARS - Software Development Life Cycle (SDLC)	Nirupa	Red	1	1	0	Jan-21						
Chamberlain's	NNDR	Nirupa	Green	0	0	2	Apr-21						
Barbican Centre	ARTISTIC EVENTS DECISION-MAKING & EVALUATION	Cirla	Green	0	0	0	Not required	0	0	0	0	Green	
City of London Police	TRANSFORM CHANGE PROGRAMME PHASE 1	Jerry	Green	0	0	0	Not required	0	0	0	0	Green	
City of London School for Girls	SCHOOL EXPANSION PROJECT	Ryan	Amber	N/A	N/A	N/A	Not required	N/A	N/A	N/A	N/A	N/A	
Community and Children's Services	BUDGET-SETTING & FINANCIAL MONITORING	Cirla	Green	0	0	0	Not required	0	0	0	0	Green	
Comptroller and City Solicitor	MANAGEMENT OF FREEDOM OF INFORMATION REQUESTS	Jerry	Green	0	0	1	TBC						
Corporate Wide	GDPR COMPLIANCE	Jerry	Amber	0	1	1	TBC						