

MCP Markets Committee Risk Report Appendix A

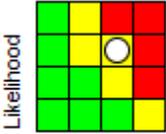
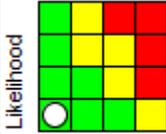
Report Author: Joanne Hill

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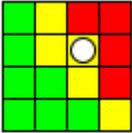
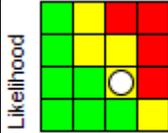


Risk no, title, creation date, owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
MCP-BG 001 Workplace Transport 26-Apr-2017 Daniel Ritchie	<p>Cause: A lack of suitable and sufficient training and adequate management controls in relation vehicle movements at Billingsgate Market.</p> <p>Event: There is a risk of a life changing injury to a pedestrian if vehicle movements including forklift trucks are not appropriately managed and controlled.</p> <p>Effect: An accident involving a pedestrian and a vehicle which resulted in a serious or life changing injury could result in prosecution, a fine, reputational damage for the City.</p>	<p>Likelihood</p> <p>Impact</p>	12	<p>This risk has been reviewed and is being held at Amber until we have total compliance with our traffic management policies.</p> <p>31 Dec 2020</p>	<p>Likelihood</p> <p>Impact</p>	8	31-Mar-2021	<p>Constant</p>

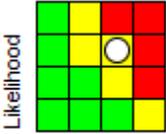
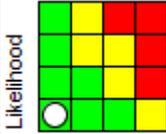
Action no	Action description	Latest Note	Action owner	Latest Note Date	Due Date
MCP-BG 001e	Respond to recommendations and agree an action plan.	An action plan has been put in place to deal with the highest risk factors.	Daniel Ritchie	31-Dec-2020	31-Mar-2021
MCP-BG 001f	Complete actions and record evidence with a view to reducing the risk rating (if appropriate).	Actions are currently being addressed in order of priority.	Daniel Ritchie	31-Dec-2020	31-Mar-2021

Risk no, title, creation date, owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
MCP-BG 002 Building maintenance-management 29-Sep-2020 Jon Averns	Cause: The deterioration of physical assets due to the lack of adequate maintenance Event: Partial collapse or failure of structure. Effect: Serious harm to building occupiers and members of the public by exposure to various physical hazards Loss of use of physical assets through accident investigations and enforcement authority prohibitions, etc Financial losses through potential Health and Safety Executive (HSE) fees for intervention, prosecution, civil claims and reputational damage to the City.	 Likelihood	12	The Superintendent will liaise with the City Surveyor's department to arrange for a condition survey to be carried out. 31 Dec 2020	 Likelihood	1	31-Mar-2021	 Constant

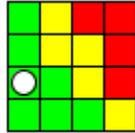
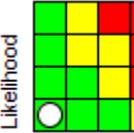
Action no	Action description	Latest Note	Action owner	Latest Note Date	Due Date
MCP-BG 002a	Condition survey to be carried out.	The Superintendent is liaising with the City Surveyor's department to arrange for a condition survey to be carried out.	Daniel Ritchie	31-Dec-2020	31-Mar-2021

Risk no, title, creation date, owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
MCP-NS 001 Workplace Traffic Management 24-Feb-2015 Emma Beard; Sean Benton; Debbie Howard	Cause: Over 200 forklift trucks operate on the New Spitalfields Market site. Event: There is a serious risk of life changing injury to a pedestrian if vehicle movements in this constrained space are not appropriately managed and controlled. Effect: An accident involving a pedestrian and a vehicle which resulted in a serious or life changing injury could result in prosecution, a fine, reputational damage for the City and have an adverse impact on the operation and sustainability of the service.	 Likelihood Impact	12	Monitoring of the current technical faults is ongoing. Discussion with the provider is also ongoing both from an operational and contractual basis. The project has not been signed off due to the ongoing faults and discussions. 04 Jan 2021	 Likelihood Impact	8	31-Mar-2021	 Constant

Action no	Action description	Latest Note			Action owner	Latest Note Date	Due Date
MCP NS-001m	Risk will be reviewed on a monthly basis.	Software has been updated as planned. minor technical issues are still in need of action Project not complete until these are resolved.			Emma Beard; Debbie Howard	23-Dec-2020	31-Mar-2021
MCP-NS 001i	A member of staff from all tenants to be nominated and trained in FLT safety procedures.	All but four have complied and taken the training. These tenants will receive an enforcement notice under the enforcement policy until they are compliant			Emma Beard; Sean Benton; Debbie Howard	23-Dec-2020	31-Mar-2021

Risk no, title, creation date, owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
MCP-NS 003 Building maintenance-management 29-Sep-2020 Jon Averns	Cause: The deterioration of physical assets due to the lack of adequate maintenance Event: Partial collapse or failure of structure. Effect: Serious harm to building occupiers and members of the public by exposure to various physical hazards Loss of use of physical assets through accident investigations and enforcement authority prohibitions, etc Financial losses through potential Health and Safety Executive (HSE) fees for intervention, prosecution, civil claims and reputational damage to the City.	 Likelihood	12	Awaiting dates from City Surveyor's Department. 23 Dec 2020	 Likelihood	1	31-Mar-2021	 Constant

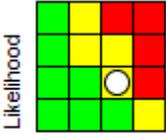
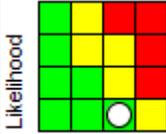
Action no	Action description	Latest Note			Action owner	Latest Note Date	Due Date
MCP-NS 003a	Condition survey to be carried out.	Liaise with the City Surveyor's Department to arrange for a condition survey to be carried out.			Emma Beard; Debbie Howard	23-Dec-2020	31-Mar-2021

Risk no, title, creation date, owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
MCP-SM 011 Brexit - impact of Brexit on traders and imports 06-Sep-2019 Mark Sherlock	Cause: The outcome of Brexit negotiations between the UK government and the EU fails to secure continuity of contracts and/or security of supply chains. Event: The market tenants fail to prepare appropriately for the end of the Brexit transition period on 31 December 2020. Uncertainty around the potential outcomes until it is too late to react. Effect: Delays and disruption in the food supply chain, and shortages of product over a prolonged period. There is also a risk, albeit unlikely, that this could impact the financial viability of some tenants' businesses. This in turn could lead to business failures and vacant premises at the markets but given the current position this seems highly unlikely.	 Likelihood	2	A deal has now been agreed between the UK & the EU which has been ratified in Parliament. The deal removes the threat of tariffs or quotas and should therefore reduce the risk of disruption to meat supplies to the Market, and the continuing export of carcass meat to the continent. There is a reduced risk that exporters and importers may not have the correct process documentation in place in time and so any potential impact of this will be further assessed in the coming weeks. 31 Dec 2020	 Likelihood	1	31-Jan-2021	 Decreasing

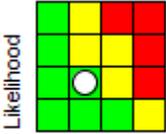
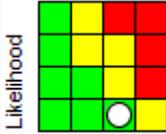
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MCP-SM 011a	Review the possibility of establishing HGV parking / holding areas in the vicinity of the market to cope with the anticipated increased volume of traffic, including the suspension of some on-street parking bays for this purpose. Other suggestions were West Poultry Avenue, where there is currently a contractors compound, and Giltspur Street, which is apparently used for parking buses overnight at present.	A review of bays currently suspended due to Museum of London works and the Poultry Market roof project is underway in consultation with DBE. 8 bays are currently fully suspended which removes the opportunity for 12 HGVs to park. The aim is to get some of these reviewed and where at all possible, returned to Market use.	Mark Sherlock	18-Dec-2020	31-Jan-2021
MCP-SM 011b	Reschedule working hours for market staff to cover possible extended trading hours.	There is now an annual leave moratorium in place for all staff for at least the whole of January. This will be reviewed once the outcome of current trade negotiations is known and removed or extended where necessary. Roster and staffing levels are also reviewed regularly. Additional 3rd party resource is available on a man-hour basis.	Mark Sherlock	18-Dec-2020	31-Jan-2021

MCP-SM 011c	Extend parking permissible on-street parking periods. Be prepared to be flexible in response to demand possibly up to 1pm each day.	A review of the extension of the enforcement hours for on-street parking is in progress with DBE. Markets Committee have also approved the principal of concessionary parking during Market hours on a needs necessitates basis under delegated authority between the Superintendent and the Chairman.	Mark Sherlock	18-Dec-2020	31-Jan-2021
MCP-SM 011d	Open Charterhouse Street and Long Lane to two-way traffic.	Long Lane is now two-way from the junction of Lindsey Street. The DBE has declined the Superintendent's request for an additional access route to the Market via Giltspur Street.	Mark Sherlock	31-Dec-2020	31-Jan-2021

		<p>Tensa deployed: 22/96 = 23%</p> <p>Driver guided movements: 7/96 = 7%</p> <p>Tenant guided movements: 80/96 = 83%</p> <p>Non-guided movements: 7 over 96 = 7%</p> <p>A high percentage of non-guided movement was observed, and logistic companies and tenants were recorded. Letters to those parties involved have been issued, with a joint letter of best practice and Site Rules to be re-issued to all delivery companies and tenants in the New Year to remind drivers and tenants of their duty of care for HGV deliveries at the Market. A further audit is planned towards the end of January 2021 with regular continuing audits, as is currently the case.</p>			
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Risk no, title, creation date, owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
MCP-WM 001 Wholesale Markets Consolidation Project 17-Mar-2020 Jon Averns	<p>Cause: The City of London Corporation is unable or decides not to complete the markets consolidation project or, determines an alternative use for proposed location on the Barking site</p> <p>Event: The City's three wholesale food markets, New Spitalfields, Smithfield and Billingsgate are unable relocate to the Barking site.</p> <p>Effect: This could result in the following: Tenant claims against City of London Corporation for loss of earnings and other incurred costs. Continuing losses from market operations affecting departmental budget Relationships with tenants being adversely affected resulting in adverse publicity and possibly leading to reputational damage to the City. Markets begin to decline possibly to the point where they are irrecoverable.</p>	 <p>Likelihood</p>	8	M&CP is working with the City Surveyor to progress building condition surveys. 22 Dec 2020	 <p>Likelihood</p>	4	31-Dec-2026	 Constant

Action no	Action description	Latest Note	Action owner	Latest Note Date	Due Date
MCO-WM 001b	A maintenance strategy has been agreed to ensure that appropriate levels of maintenance will ensure that the markets will not be forced to close due to failing infrastructure.	A maintenance strategy has been agreed to ensure that appropriate levels of maintenance continue to be carried out until there is more certainty about the markets' move. This will cover the risk that the markets cannot operate due to failing infrastructure. The City Surveyor's Department is arranging for condition surveys to be carried out at all three markets to inform forward maintenance planning	Jon Averns	22-Dec-2020	17-Dec-2026
MCP-WM 001a	Keep risk under regular review	This risk will be reviewed and updated on a quarterly basis until such a time that more frequent reviews are required.	Jon Averns	22-Dec-2020	31-Dec-2026

Risk no, title, creation date, owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
MCP-SM 013 EPA Canopy Failure 17-Aug-2020 Mark Sherlock	<p>Cause: Lack of condition survey and maintenance.</p> <p>Event: Sections of concrete canopy fail and collapse following extreme weather.</p> <p>Effect: Serious harm to building occupiers and members of the public by exposure to various physical hazards Loss of use of physical assets through accident investigations and enforcement authority prohibitions, etc Financial losses through potential Health and Safety Executive (HSE) fees for intervention, prosecution, civil claims and reputational damage to the City.</p>	 <p>Likelihood</p> <p>Impact</p>	4	<p>High priority H&S works were completed in East Poultry Avenue and the road, remaining pavement and 3 Market access gates were fully reopened on the 30th November. There are additional recommended works planned for early 2021 as part of the structural survey contractor recommendations. City Surveyor's Department will programme these works in consultation with the Superintendent around the Market operation.</p> <p>15 Dec 2020</p>	 <p>Likelihood</p> <p>Impact</p>	4	31-Mar-2021	 Decreasing

Action no	Action description	Latest Note	Action owner	Latest Note Date	Due Date
MCP -SM 013a	Survey and review all exiting canopies in East Poultry & West Poultry Ave. Erect crash mats and support props to secure remaining structure.	High priority H&S works were completed in East Poultry Avenue and the road, remaining pavement and 3 Market access gates were fully reopened on the 30th November. There are additional recommended works planned for early 2021 as part of the structural survey contractor recommendations. CSD will programme these works in consultation with the Superintendent around the Market operation.	Mark Sherlock	15-Dec-2020	31-Jan-2021

