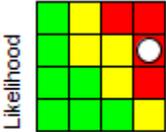
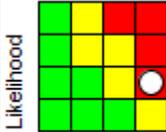


## DBE Committee Report (P&T Corporate & Departmental)

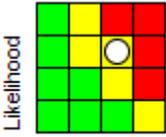
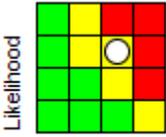
Report Author: Elizabeth Hannah

Generated on: 12 March 2021

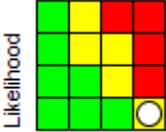
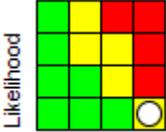
Risk no, Title, Creation date, Owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date/Risk Approach	Current Risk score change indicator
CR20 Road Safety  23-Oct-2015	<p><b>Cause:</b> Limited space on the City's medieval street network to cope with the increased use of the highway by vehicles, pedestrians and cyclists within the City of London. Interventions and legal processes take time to deliver safely and effectively.</p> <p><b>Event:</b> The City Corporation's statutory duties and the measures outlined in the Transport Strategy are not fully and effectively implemented.</p> <p><b>Effect:</b></p> <ul style="list-style-type: none"> <li>•The number of casualties occurring on the City's streets rises or remains unchanged instead of reducing</li> <li>•The safety and feeling of safety of the City's communities is adversely affected (Corporate Plan Outcome 1)</li> <li>•Physical or mental harm suffered by those involved in collisions and their associates</li> <li>•Economic costs of collisions impact on individuals, City businesses and wider society</li> <li>•The City Corporation's ability to improve road safety is adversely impacted with businesses and/or the public by virtue of loss of credibility and/or authority</li> </ul>	 <p>Likelihood</p>	24	<p>The risk assessment is unchanged, reflecting the probability that a fatality is fairly likely to occur while mitigation measures are being implemented. Public consultation on All Change at Bank will begin in March. The Gateway 1/2 report for a programme to deliver pedestrian priority and pavement widening will go to Committees in April. Behaviour change and engagement activities have been placed on hold due to latest Covid-19 restrictions and lockdown. A programme of activities for 2021/22 is currently being developed.</p> <p><b>10 Mar 2021</b></p>	 <p>Likelihood</p>	16	31-Mar-2022	 Constant
							Reduce	

Action no, Title,	Action description	Latest Note			Action owner	Latest Note Date	Due Date
CR20I Road danger reduction and	<p>A programme of projects to reduce road danger on the City's streets including:</p> <ul style="list-style-type: none"> <li>• Bank on Safety and All Change at Bank</li> </ul>	<p>Public consultation on All Change at Bank will begin in March. Improvements to Creechurch Lane (by Leadenhall Street) and Gresham Street (by Wood Street) are in progress.</p>			Ian Hughes	10-Mar-2021	31-Mar-2022

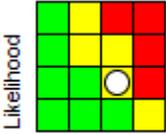
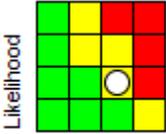
Vision Zero	<ul style="list-style-type: none"> <li>• RDR engineering programme</li> <li>• 15mph traffic limit</li> <li>• Ludgate Circus (lead by TfL)</li> </ul>	The Gateway 1/2 report for a programme to deliver pedestrian priority and pavement widening will going to Committees in April. Locations for future Healthy Streets minor schemes are being reviewed in preparation for delivery on 2021/22. A report recommending next steps for the Covid-19 transport recovery measures will go to Committee in April.			
CR20m Road Danger Reduction campaigns and engagement	<p>Campaigns and engagement activities to encourage safe behaviours and promote safe vehicles, including:</p> <ul style="list-style-type: none"> <li>• Active City Network</li> <li>• User and stakeholder liaison</li> <li>• Schools programme</li> </ul>	Activities have been placed on hold due to Covid-19 restrictions and lockdown. A programme of activities for 2021/22 is currently being developed and will be finalised once TfL LIP funding is confirmed.	Ian Hughes	10-Mar-2021	31-Mar-2022

Risk no, Title, Creation date, Owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date/Risk approach	Current Risk score change indicator
<b>DBE-PP-01 Adverse planning policy context</b>  06-Mar-2015 Paul Beckett	<p>Cause: A desire in Government and others to change the existing planning system in a way which may be detrimental to the City</p> <p>Event: Changes detrimental to the City are implemented</p> <p>Impact: Adverse changes cannot be prevented using local planning control</p>	 <p>Likelihood</p> <p>Impact</p>	<b>12</b>	<p>City Corporation submitted an objection in Jan 2021 to the Government's consultation on its proposed changes to permitted development rights. WE are currently liaising with neighbouring boroughs regarding further representations we might make.</p> <p><b>03 Mar 2021</b></p>	 <p>Likelihood</p> <p>Impact</p>	<b>12</b>	31-Dec-2021	
							Accept	Constant

Action no, Title,	Action description	Latest Note	Action owner	Latest Note Date	Due Date
DBE-PP-01a Business as usual mitigating controls	(1) Ongoing monitoring of government regulations; (2) continue monitor progress of, and seek to influence, forthcoming legislation	City Corporation submitted an objection in Jan 2021 to the Government's consultation on its proposed changes to permitted development rights. We are currently liaising with neighbouring boroughs regarding further representations we might make.	Paul Beckett	08-Mar-2021	31-Dec-2021

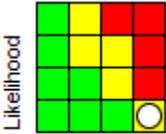
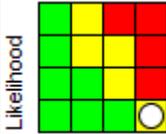
Risk no, Title, Creation date, Owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date/Risk approach	Current Risk score change indicator
<b>DBE-02 Service/Pipe Subways</b> 02-Dec-2015 Ian Hughes; Giles Radford	Cause: Provide safe access and egress for utilities and maintenance functions, whilst having operatives entering the confined space to undertake checks.  Event: A lack of Oxygen, poisonous gases, fumes and vapour, liquids and solids that suddenly fill spaces, Fire and explosions, hot conditions, Entrapment and falling debris.  Impact: Fatality / Major Injury / Illnesses	 Likelihood Impact	8	No further update  <b>26 Feb 2021</b>	 Likelihood Impact	8	31-Dec-2020	Constant
	Reduce							

Action no, Title,	Action description	Latest Note	Action owner	Latest Note Date	Due Date
DBE-02a Business As Usual Mitigations	<p>Confined space working is avoided when possible.</p> <p>All PPE and other equipment required for a SSOW shall be suitable and sufficient for the tasks identified. The following PPE and equipment shall be provided, as stated in the approved code of practice</p> <p>All openings are controlled through a central booking system. A subway must not be entered if permission to do so has been refused.</p> <p>No booking will be granted to parties who are not on the database. If the contractor is not on the database they must seek approval from CoL regarding their works. Once confirmed, the contractors will be added to the system before agreeing access.</p> <p>All works and operatives entering the pipe subway must comply with the code of practice for access and safe working in local authority subways.</p> <p>Regular inspections of the structure, covers, condition and asbestos surveys are undertaken.</p> <p>The Permit to enter form must be completed and contractors checked to ensure they have suitable and sufficient equipment to enter a confined space.</p> <p>No smoking is allowed at any time.</p>	All business as usual mitigations have been reviewed, they are very much current and continue to work effectively.	Giles Radford	19-Feb-2020	31-Dec-2020

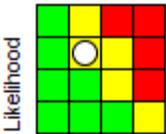
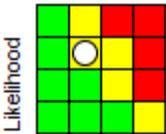
Risk no, Title, Creation date, Owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date/Risk approach	Current Risk score change indicator
<b>DBE-DS-01</b> <b>The District Surveyor's (Building Control) Division becomes too small to be viable</b>  25-Mar-2015 Gordon Roy	Cause: Reduced Income causes the service to be unviable Event: Development market fails to maintain momentum or our market share shrinks Impact: Reduced staffing levels do not provide adequate breadth of knowledge and experience	 Likelihood Impact	<b>8</b>	The risk has been reviewed and the scoring is unchanged.  The plans to create of a Local Authority Trading Company are still on hold and are being reviewed in the light of expected changes in the Building Control Regulation regimen that arise following the publication of the Hackett Report (on the Grenfell fire) and the Building Safety Bill. The proposed new Act is anticipated to be approved in May 2021. In parallel the City of London is working with other Boroughs under the London District Surveyors Association to deliver the anticipated new work under the Building Safety Act across London.  An additional strand to the BAU control has been added. Involvement with developers as part of the planning application process and appears to be working well.  <b>08 Mar 2021</b>	 Likelihood Impact	<b>8</b>	31-Dec-2021	 Constant
							Accept	

Action no, Title,	Action description	Latest Note	Action owner	Latest Note Date	Due Date
DBE-DS-01a Business as usual mitigating	(1) Continue to provide excellent services [evidenced by customer survey]; (2) Maintain client links with key stakeholders; (3) Continue to explore new income opportunities;	Business as usual controls have been reviewed and are suitable.	Gordon Roy	08-Mar-2021	31-Dec-2021

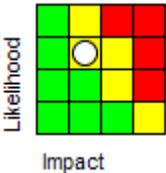
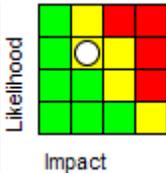
controls	(4) Continue to undertake cross-boundary working. (5) Involvement with developers as part of the planning application process.				
DBE-DS-01c Business Plan development	Following approval of Summit Group, a Business Plan is being developed and to be presented to members for consideration in due course.	Awaiting for government guidance. Due summer 2021.	Gordon Roy	08-Mar-2021	30-Apr-2022

Risk no, Title, Creation date, Owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date/Risk approach	Current Risk score change indicator
DBE-TP-11 Beech Street Roofing Panels  20-Nov-2020 Ian Hughes	<p><b>Cause:</b> Water seepage from Barbican highwalk fails to be contained by drip tray capture and drainage system above the roof panels.</p> <p><b>Event:</b> Corrosion of supports holding roof panels in place causes structural failure.</p> <p><b>Effect:</b> Panels fall with the potential for death or serious injury if they strike pedestrians or vehicles.</p>	 <p>Likelihood</p> <p>Impact</p>	8	<ul style="list-style-type: none"> <li>Further inspection undertaken &amp; consultant report reviewed as part of options appraisal. Proceeding with complete removal of ceiling panels due to design failure, water ingress &amp; wind conditions.</li> </ul> <p><b>09 Mar 2021</b></p>	 <p>Likelihood</p> <p>Impact</p>	8	31-Dec-2022	 Constant
							Reduce	

Action no, Title,	Action description	Latest Note	Action owner	Latest Note Date	Due Date
DBE-TP-11a Inspection & reactive works	A programme of monthly visual inspections by our contractor has been established. Any panels where the supports are identified as being at significant risk of failure are removed.	Latest visual inspection complete with consultant's report indicating risk of further panels falling due to water ingress & wind conditions. No specific panels identified as immediately dangerous, but issue considered urgent.	Ian Hughes	09-Mar-2021	31-Jan-2021
DBE-TP-11b Options appraisal	Based on the consultant's report following the initial support failure and next detailed inspection an options appraisal process will be undertaken.	Options appraisal complete; full removal of ceiling panels recommended by officers. Key Members informed; funding agreed; contractor resources being mobilised for removal later this month. Future replacement options will be considered as part of a subsequent capital bid and the needs of the Beech St enhancement project.	Ian Hughes	09-Mar-2021	30-Apr-2021
DBE-TP-11c Resolution of underlying problem	The underlying problem is being addressed through the waterproofing programme which is being managed by the Department of Community and Children's Service and there is the potential for including the replacement of the roof panels within the City Surveyor's Beech Street refurbishment works.	DCCS waterproofing programme is due to start in 2022; information exchange already underway.	Ian Hughes	09-Mar-2021	30-Apr-2021

Risk no, Title, Creation date, Owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date/Risk approach	Current Risk score change indicator
<b>DBE-PL-02</b> <b>Not being alive to the needs/requirements of the world business centre and the political environment</b>  23-Mar-2015 Gwyn Richards	Cause: Staff are badly briefed in relation to the planning development needs of the City as a world business centre  Event: Perception that we are not responsive to the planning development needs of the City as a world business centre  Impact: The City's reputation suffers and we fail to deliver buildings that meet the needs of the City as a world business centre	 Likelihood Impact	<b>6</b>	The risk has been reviewed and although there continues to be uncertainty regarding the wider economic situation, post-Brexit, COVID-19 the likelihood and impact are unchanged. The changes in Planning legislation introduce further uncertainty – this is being closely monitored and may result in an increase in the risk score. Given the level of uncertainty meeting with stakeholders are continuing at an increased frequency.  <b>05 Mar 2021</b>	 Likelihood Impact	<b>6</b>	31-Dec-2021	 Constant
							Accept	

Action no, Title,	Action description	Latest Note	Action owner	Latest Note Date	Due Date
DBE-PL-02a	Business as usual mitigating controls  (1) Continue to work closely with other parts of the department; the City Property Advisory Team; other City of London Departments; & the Greater London Authority. (2) To work closely with the development industry, the City Property Association and hold regular meetings with City agents. (3) Participation at MIPIM. (4) Co-ordination with the Recovery Taskforce	The Business As Usual controls have been reviewed in the light of COVID-19 and we continue to work closely, meeting remotely, with the development industry, the City Property Association and hold regular meetings with City agents and co-ordinate with the Recovery Taskforce.  MIPIM 2020 was cancelled and MIPIM 2021 has been postponed until June 2021 in Cannes.  The implications of legislative changes (both those that have already been introduced and those which are the subject of the White Paper) have been assessed and staff have been briefed. The City participated, through the Planning Policy Team, in the Government's consultation.  The (non-MIPIM) controls, which have been implemented, are appropriate and effective.	Gwyn Richards	05-Mar-2021	31-Dec-2021

Risk no, Title, Creation date, Owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date/Risk approach	Current Risk score change indicator
<b>DBE-TP-03 Major Projects and key programmes not delivered as TfL funding not received</b>  27-Mar-2015 Bruce McVean	Cause: City of London fail to bid at the appropriate time or City of London lose credibility with TfL or Reduced funding from TfL Event: TfL funding for Local Investment Plan ceased or significantly reduced Impact: Unable to deliver highway investment & improvement programmes		<b>6</b>	The overall score has reduced from 8 to 6. Likelihood has been reduced from 4 (likely) to 3 (possible). This reflects the expectation that some level of TfL funding will be available for next financial but that the amount remains uncertain and may be lower than previously planned. The impact score remains unchanged, reflecting the potential for some projects or programmes to be paused or delayed if funding is not available or is significantly reduced.  <b>10 Mar 2021</b>		<b>6</b>	30-Nov-2021	  Decreasing
							Reduce	

Action no, Title,	Action description	Latest Note	Action owner	Latest Note Date	Due Date
DBE-TP-03a Annual Spending Submission	Send Annual Spending Submission to TfL	Annual Spending Submission will be submitted once TfL have confirmed funding for 2021/22. This is likely to be towards the end of Q4 2020/21.	Bruce McVean	24-Nov-2020	30-Nov-2021
DBE-TP-03b TfL meetings	Conduct quarterly meetings with TfL-	Meeting schedule will be arranged once funding is confirmed and Annual Spending Submission submitted to TfL.	Bruce McVean	10-Mar-2021	31-Mar-2022
DBE-TP-03c TfL Bid Process	Submit bid(s) in line with TfL timetable (e.g. Liveable Neighbourhoods)	Opportunities to participation in future bidding rounds will be kept under review. Opportunities are expected to be limited 2021/22.	Bruce McVean	24-Nov-2020	30-Nov-2021



DBE-PL-06c Interaction with software supplier & Chamberlain's Finance	There is a need to (a) import data from CBIS into Exacom to ensure that it contains up to date expenditure and allocation information; and (b) prepare the necessary budget reports from Exacom.	Due to the mechanisms within the Chamberlain's department whereby expenditure is apportioned to S106 and CIL annually and not in real time the recommendations relating to this in the Internal Audit report are not deliverable at present. This is to be the subject of a discussion between the Chamberlain's finance staff for DBE and Internal Audit to consider if the recommendations need to be reviewed. Due to competing pressures this discussion has not yet taken place, <b>but discussions have taken place with Chamberlain's which are ongoing.</b> Once this is complete we will review the need for interaction between Exacom and CBIS and the options for reporting.	Gwyn Richards	12-Mar-2021	31-Mar-2021
--	--	--	---------------	-------------	-------------