

<b>Committee(s)</b>	<b>Dated:</b>
Planning & Transportation Committee	07 September 2021
<b>Subject:</b> Department of the Built Environment Risk Management – Quarterly Report	<b>Public</b>
<b>Which outcomes in the City Corporation’s Corporate Plan does this proposal aim to impact directly?</b>	<b>N/A</b>
<b>Does this proposal require extra revenue and/or capital spending?</b>	<b>No</b>
<b>If so, how much?</b>	<b>N/A</b>
<b>What is the source of Funding?</b>	<b>N/A</b>
<b>Has this Funding Source been agreed with the Chamberlain’s Department?</b>	<b>N/A</b>
<b>Report of:</b> Executive Director, Environment	<b>For Information</b>
<b>Report author:</b> Elisabeth Hannah	

### Summary

This report has been produced to provide the Planning & Transportation Committee with assurance that risk management procedures in place within the Department of the Built Environment are satisfactory and that they meet the requirements of the corporate Risk Management Framework.

This report only considers risks managed by the Department of the Built Environment that fall within the remit of the Planning & Transportation Committee. Parallel reports regarding risks that fall within the remit of the Port Health & Environmental Health Committee are submitted to that Committee.

Risk is reviewed regularly as part of the ongoing management of the operations of the Department of the Built Environment. In addition to the flexibility for emerging risks to be raised as they are identified, a process exists for in-depth periodic review of the risk register.

Since the last report to Members there has been no change in the list of Corporate risks managed by the department.

There is one Corporate Risk managed by the Department of the Built Environment, which will be the subject of a deep dive by the Audit and Risk Committee this autumn:

- CR20 - Road Safety (Current risk: RED)

There are no Departmental RED Risks managed by the Department of the Built Environment.

The Department has identified a number of risks in relation to COVID 19. The Departmental level risks are listed at Appendix 3 and continue to be reported to this Committee and the Port Health & Environmental Services Committee.

## Recommendation

Members are asked to:

- Note the report and the actions taken in the Department of the Built Environment to monitor and manage effectively risks arising from the department's operations.

## Main Report

### Background

1. The Risk Management Policy and Strategy of the City of London Corporation requires each Chief Officer to report regularly to Committee the risks faced in their department.
2. Risk owners are consulted, and risks are routinely reviewed with the updates recorded in the Corporate (Pentana) system.
3. Each risk managed by the Department of the Built Environment is allocated to either the Planning & Transportation Committee or the Port Health & Environmental Services Committees. **This report only considers risks managed by the Department of the Built Environment that fall within the remit of the Planning & Transportation Committee.**

Parallel periodic reports are submitted to the Port Health & Environmental Services Committee.

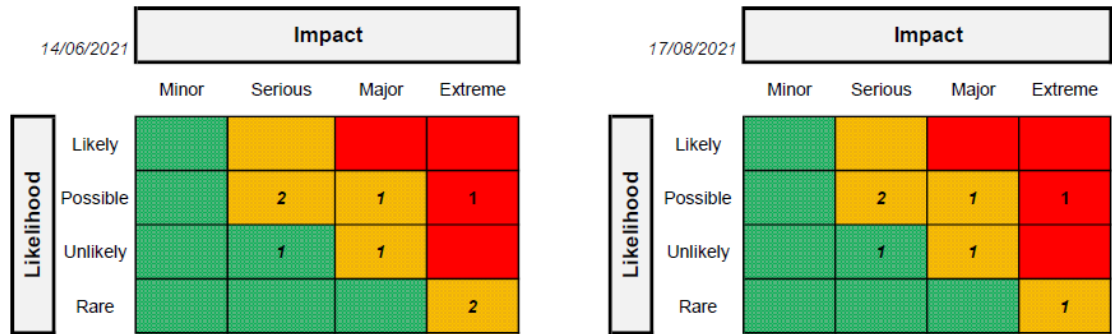
### Current Position

4. This report provides an update on the current risks that exist in relation to the operations of the Department of the Built Environment that fall within the remit of the Planning & Transportation Committee.
5. In order to reduce the volume of information presented, and accordance with the Corporate Risk Management Strategy, this report includes all Corporate and Departmental level risks but not Service Level risks (unless there are changes which are considered to be likely to be of interest to Members).
6. The risk register captures risk across all four divisions within the department, (Transportation & Public Realm, District Surveyor, Development and Policy & Performance), risks relating to the City Property Advisory Team are managed by the City Surveyor. The department provides advice relating to the City bridges to the City Surveyor's department, but the risks are owned by the City Surveyor.

### Heatmap

7. At the request of Members, you will note below heatmaps of the department's risks. This is a graphical summary of the current departmental risks as

presented in Appendix 2 (departmental risks). A comparison with the those presented at the last report (June 2021) is included. Note that this is a snapshot comparison of our risk profile.



### Summary of Key Risks

- The Department of the Built Environment is responsible for one Corporate Risk, this risk is also reported to Audit & Risk Sub Committee and will be looked at in depth this Autumn as part of the regular deep dive programme.

#### Road Safety (CR20) which is RED

This is the risk related to road traffic collisions.

This risk score remains assessed as 24 (RED) with a Likelihood of Possible (3) and an Impact of Extreme (8). This is above the Target Risk score of 16 and unchanged since June 2021.

The following mitigation measures are currently being implemented:

- Continuing to progress All Change at Bank, with a report due to committee in September
- Work on pedestrian priority programme continues with the first tranche of experimental schemes to retain temporary Covid-19 measures due to go to Committee in October
- Proposals for Healthy Streets minor schemes on Golden Lane have been developed and alternative funding sources are being investigated following confirmation that TfL LIP funding will not be available this calendar year.
- Continuing to promote cycle skills training, both online and in-person.

#### COVID-19 Risks

- The Department has identified two departmental risks arising from the impact of COVID19. These are held on the Public Services SILVER group risk register. Exceptionally these risks are being reported both to this Committee and to the Port Health & Environmental Services Committee.

### **Significant Risk changes and other items of particular interest to Members**

10. Regular review of risks has identified no Departmental Level risk where the Current Risk score has changed.
11. The Target Risk Ratings/Scores have also been reviewed since the last report to Members and no changes have been identified.
12. It is worth noting that risk DBE-TP-11 regarding the Beech Street roofing panels has been removed from the risk register as the tiles have now been removed therefore the risk is no longer live.

### **Risk Management Process**

13. Risk and control owners are consulted regarding the risks for which they are responsible at appropriate intervals based on the level of risk and the likelihood that this level will change. In general, RED risks are reviewed monthly; AMBER risks are reviewed quarterly; and GREEN risks are reviewed quarterly, 6 monthly or annually depending on the likelihood of change.
14. Members will notice that some risks reported are already at the Target Risk Rating & Score and are only subject to Business As Usual actions. These risks are included in accordance with the Corporate Guidance to assist this committee fulfil the role of Service Committees (as defined in the Corporate Risk Management Strategy) to “Oversee the significant risks faced by the Departments in the delivery of their service responsibilities.”

### **Key Data**

Not Applicable

### **Corporate & Strategic Implications**

- Strategic implications – Not Applicable
- Financial implications – Not Applicable
- Resource implications – Not Applicable
- Legal implications – Not Applicable
- Risk implications – Not Applicable
- Equalities implications – Not Applicable
- Climate implications – Not Applicable
- Security implications – Not Applicable

### **Conclusion**

15. Members are asked to note that risk management processes within the Department of the Built Environment adhere to the requirements of the City Corporation’s Risk Management Framework and that risks identified within the

operational and strategic responsibilities of the Director of the Built Environment are proactively managed.

## **Appendices**

- Appendix 1 – City of London Corporation Risk Matrix
- Appendix 2 – Register of DBE Corporate and Departmental risks (Planning & Transportation Committee)
- Appendix 3 – Register of DBE COVID19 SILVER group risks (Planning & Transportation Committee)