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| Committee(s) | Dated: |
| Planning & Transportation Committee | 22 February 2022 |
| Subject: Department of the Built Environment Risk Management – Quarterly Report | Public |
| Which outcomes in the City Corporation’s Corporate Plan does this proposal aim to impact directly? | N/A |
| Does this proposal require extra revenue and/or capital spending? | No |
| If so, how much? | N/A |
| What is the source of Funding? | N/A |
| Has this Funding Source been agreed with the Chamberlain’s Department? | N/A |
| Report of: Executive Director, Environment | For Information |
| Report author: Elisabeth Hannah | |

Summary

This report has been produced to provide the Planning & Transportation Committee with assurance that risk management procedures in place within the Department of the Built Environment are satisfactory and that they meet the requirements of the corporate Risk Management Framework.

This report only considers risks managed by the Department of the Built Environment that fall within the remit of the Planning & Transportation Committee. Parallel reports regarding risks that fall within the remit of the Port Health & Environmental Health Committee are submitted to that Committee.

Risk is reviewed regularly as part of the ongoing management of the operations of the department. In addition to the flexibility for emerging risks to be raised as they are identified, a process exists for in-depth periodic review of the risk register.

Since the last report the Executive Leadership Board (ELB) have, following a revised assessment of the progress being made to manage this risk by the department, agreed to de-escalate the CR20 Road Safety risk to departmental level. The Audit and Risk Management Committee on the 18 January 2021 endorsed the decision of the ELB. The road safety risk (DBE20 formally known as CR20) remains one of the top departmental level risks and will continue to be regularly reviewed by Environment’s Senior Leadership Team (SLT). Should circumstances change the road safety risk may be recommended for inclusion on the corporate risk register.

There is one Departmental RED Risk managed by the Department of the Built Environment, this is the Road Safety risk (DBE09) which was the subject of a deep dive by the Audit and Risk Committee last autumn.

Recommendation

Members are asked to:

- Note the report and the actions taken in the Department of the Built Environment to monitor and manage effectively risks arising from the department's operations.

Main Report

Background

1. The Risk Management Policy and Strategy of the City of London Corporation requires each Chief Officer to report regularly to Committee the risks faced in their department.
2. Risk owners are consulted, and risks are routinely reviewed with the updates recorded in the Corporate (Pentana) system.
3. Each risk managed by the Department of the Built Environment is allocated to either the Planning & Transportation Committee or the Port Health & Environmental Services Committees. **This report only considers risks managed by the Department of the Built Environment that fall within the remit of the Planning & Transportation Committee.**

Parallel periodic reports are submitted to the Port Health & Environmental Services Committee.

Current Position

4. This report provides an update on the current risks that exist in relation to the operations of the Department of the Built Environment that fall within the remit of the Planning & Transportation Committee.
5. In order to reduce the volume of information presented, and accordance with the Corporate Risk Management Strategy 2021, this report includes all Corporate and Departmental level risks but not Service Level risks (unless there are changes which are considered to be likely to be of interest to Members).
6. The risk register captures risk across all four divisions within the department, (Transportation & Public Realm, District Surveyor, Development and Policy & Performance), risks relating to the City Property Advisory Team are managed by the City Surveyor. The department provides advice relating to the City bridges to the City Surveyor's department, but the risks are owned by the City Surveyor.
7. As part of the TOM a project is underway, with support from the Corporate Risk Manager, to re-identify risks to fit in with the new structure and to ensure that risks from across DBE, Open Spaces and Port Health and Public Protection are captured in the Environment Department risk register.

Summary of Key Risks

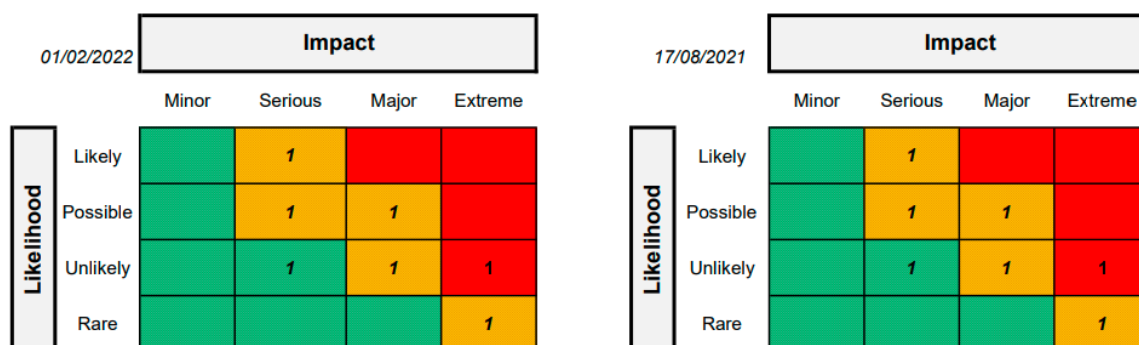
8. The departmental RED risk **DBE09 Road Safety (formally CR20)** has been deescalated from a Corporate risk to a Departmental risk.
9. Since the last report the Executive Leadership Board (ELB) have, following a revised assessment of the progress being made to manage this risk by the department, agreed to de-escalate the CR20 Road Safety risk to departmental level. The Audit and Risk Management Committee on the 18 January 2021 endorsed the decision of the ELB. The road safety risk (DBE20 formally known as CR20) remains one of the top departmental level risks and will continue to be regularly reviewed by Environment's Senior Leadership Team (SLT). Should circumstances change the road safety risk may be recommended for inclusion on the corporate risk register.
10. This risk score has been assessed as 16 (RED) with a Likelihood of Unlikely (2) and an Impact of Extreme (8). This is in line with the Target Risk score of 16 and unchanged since September 2021. This risk continues to be kept under review as our understanding of post-pandemic travel patterns continues to develop.

The following mitigation measures are currently being implemented:

- Continuing to progress All Change at Bank and Pedestrian Priority Programme
- Cycle training provisions and supporting the CoLP's education, engagement, and enforcement activities
- Analysis of the location, pattern and nature of collisions has been commissioned to identify future projects and campaigns and engagement to reduce collisions and casualties.

Heatmap

11. The following heatmaps show a graphical summary of the current departmental risks as presented in Appendix 1 (departmental risks). A comparison with the those presented at the last report is included. Note that this is a snapshot comparison of our risk profile.



Significant Risk changes and other items of particular interest to Members

12. Regular review of risks has identified no Departmental Level risks where the Current Risk score has changed. The Target Risk Ratings/Scores have also been reviewed since the last report to Members and no changes have been identified.

Risk Management Process

13. Risk and control owners are consulted regarding the risks for which they are responsible at appropriate intervals based on the level of risk and the likelihood that this level will change. In general, RED risks are reviewed monthly; AMBER risks are reviewed quarterly; and GREEN risks are reviewed quarterly, 6 monthly or annually depending on the likelihood of change.
14. Members will notice that some risks reported are already at the Target Risk Rating & Score and are only subject to Business As Usual actions. These risks are included in accordance with the Corporate Guidance to assist this committee fulfil the role of Service Committees (as defined in the Corporate Risk Management Strategy) to “Oversee the significant risks faced by the Departments in the delivery of their service responsibilities.”
15. Work is being undertaken with the department and the Corporate Risk Manager to create the new Environment Department Risk Register and updates will be presented to Committees in the second half of 2022.

Key Data

Not Applicable

Corporate & Strategic Implications

- Strategic implications – Not Applicable
- Financial implications – Not Applicable
- Resource implications – Not Applicable
- Legal implications – Not Applicable
- Risk implications – Not Applicable
- Equalities implications – Not Applicable
- Climate implications – Not Applicable
- Security implications – Not Applicable

Conclusion

16. Members are asked to note that risk management processes within the Department of the Built Environment adhere to the requirements of the City Corporation’s Risk Management Framework and that risks identified within the

operational and strategic responsibilities of the Executive Director, Environment are proactively managed.

Appendices

- Appendix 1 – Register of DBE Corporate and Departmental risks (Planning & Transportation Committee)

Background Papers

- Audit and Risk Management Committee, 18 January 2022, agenda item 10