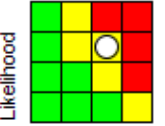
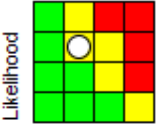



Appendix 1

Risk no, title, creation date, owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date/Risk Approach	Current Risk score change indicator
<p>CR29 Information Management</p> <p>08-Apr-2019</p> <p>John Barradell</p>	<p>Cause: Lack of officer commitment and investment of the right resources into organisational information management systems and culture.</p> <p>Event: The City Corporation’s IM Strategy (2018-2023) is not fully and effectively implemented</p> <p>Effect:</p> <ul style="list-style-type: none"> • Not being able to use relevant information to draw insights and intelligence and support good decision-making • Vulnerability to personal data and other information rights breaches and non-compliance with possible ICO fines or other legal action • Waste of resources storing information beyond usefulness 	 <p>Likelihood</p> <p>Impact</p>	<p>12</p>	<p>W Drive closedown and move to SharePoint completed</p> <p>New role created to lead on IM in the Digital, Information and Technology Team</p> <p>Chief Officers being provided with local SIRO training</p> <p>28 Jul 2022</p>	 <p>Likelihood</p> <p>Impact</p>	<p>6</p>	<p>31-Dec-2022</p>	
							<p>Reduce</p>	<p>Constant</p>

Appendix 1

Action no	Action description	Latest Note	Action owner	Latest Note Date	Due Date
CR29g	IM Audit Actions to be implemented	Several audit actions now need to be considered and planned for implementation up to the end of June. Dependent on a resource uplift bid within the IT TOM proposal.	Sam Collins	28-Jul-2022	31-Jul-2022
CR29h	W Drive moved to Sharepoint	W: Drive now frozen with a view to remove shortly	Sam Collins	28-Jul-2022	31-Jul-2022
CR29i	Local SIRO training for the Chief Officer Team	Training being delivered to Chief Officers up until the end of May 2022	Nick Senior	28-Jul-2022	31-Jul-2022
CR29j	IM Maturity Plan	More detailed mitigation actions for cultural, infrastructure and information tooling to be developed – this is resource is now in place, and work has begun on defining actions	Sean Green	23-Jun-2022	31-Oct-2022