



## Register of Environment Department Corporate and Departmental Risks (Planning & Transportation Committee)

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### DEPARTMENTAL RISK

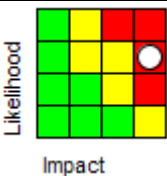
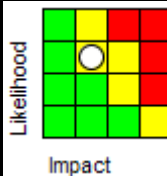
Risk no, title, creation date, owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date/Risk Approach	Current Risk score change indicator
ENV-CO-TR 001 (Formerly CR20) Road Safety  (Departmental risk)  23-Oct-2015	<p><b>Cause:</b> Limited space on the City's medieval street network to cope with the increased use of the highway by vehicles, pedestrians and cyclists within the City of London. Interventions and legal processes take time to deliver safely and effectively.</p> <p><b>Event:</b> The City Corporation's statutory duties and the measures outlined in the Transport Strategy are not fully and effectively implemented.</p> <p><b>Effect:</b></p> <ul style="list-style-type: none"> <li>•The number of casualties occurring on the City's streets rises or remains unchanged instead of reducing</li> <li>•The safety and feeling of safety of the City's communities is adversely affected (Corporate Plan Outcome 1)</li> <li>•Physical or mental harm suffered by those involved in collisions and their associates</li> <li>•Economic costs of collisions impact on individuals, City businesses and wider society</li> <li>•The City Corporation's ability to improve road safety is adversely impacted with businesses and/or the public by virtue of loss of credibility and/or authority</li> </ul>	<p>Likelihood</p> <p>Impact</p>	24	<p>The risk assessment remains at 24 (Impact 8 - Extreme, Likelihood 3 – Possible), from 16. This reflects the increasing numbers of people travelling in the City and that one person has been killed in a collision in the last 12 months.</p> <p>Mitigating actions include continuing to progress All Change at Bank and the Pedestrian Priority Programme. We also continue to support the CoLP's education, engagement, and enforcement activities. Funding for cycle training has again been made available. The updated RDR Action Plan is due to go to Committee in November 2022, however delivery is expected to be limited, at least in the early stages, due to reduced City and TfL funding.</p> <p>22 Sep 2022</p>	<p>Likelihood</p> <p>Impact</p>	16	31-Mar-2027	
							Reduce	Constant

## Appendix 2

Ian Hughes; Bruce McVean							
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Action no	Action description	Latest Note	Action owner	Latest Note Date	Due Date
ENV-CO-TR 0011 (formerly CR20)	<p>A programme of projects to reduce road danger on the City's streets including:</p> <ul style="list-style-type: none"> <li>• Bank on Safety and All Change at Bank</li> <li>• RDR engineering programme</li> <li>• 15mph traffic limit</li> <li>• Ludgate Circus (lead by TfL)</li> </ul>	Continuing to progress All Change at Bank, Pedestrian Priority Programme and St Paul's Gyratory. We expect to be able to deliver additional minor schemes this financial year but are awaiting details of available funding from TfL.	Ian Hughes	22-Sep-2022	31-Mar-2023
ENV-CO-TR 001m (formerly CR20)	<p>Campaigns and engagement activities to encourage safe behaviours and promote safe vehicles, including:</p> <ul style="list-style-type: none"> <li>• Active City Network</li> <li>• User and stakeholder liaison</li> <li>• Schools programme</li> </ul>	Opportunities for campaigns, training and engagement continue to be limited due to lack of TfL grant funding this financial year. We are awaiting details on the level of TfL funding that might be available this financial year, however it is anticipated that funding will continue to be constrained throughout 2022/23 meaning that only a limited programme of campaigns, training and engagement will be possible.	Ian Hughes	22-Sep-2022	31-Mar-2023

**SERVICE LEVEL RISK (NEW)**

Risk no, title, creation date, owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date/Risk Approach	Current Risk score change indicator
ENV-CO-HW <b>010 Car Parks: Safety</b>  02-Sep-2022 Ken Stone	<p><b>Cause:</b> Dilapidation of the car parks and the location of some car parks, e.g. London Wall car park is beneath the road where a fire or structural issue could have wider implications.</p> <p><b>Event:</b> Fire risk is increased and there is a greater likelihood of accidents and near misses within the car parks.</p> <p><b>Impact:</b> Serious injury or death; structural failure could have wider implications; vehicle damage; increased insurance claims; potential enforcement action and fines; reputational damage.</p>		24	<p>We are aiming to improve the safety of the car parks through replacing lighting, redecoration and FM projects. A range of projects are underway or being considered for future implementation which should help to reduce this risk.</p> <p><b>02 Sep 2022</b></p>		6	31-Dec-2023	Constant
							Reduce	

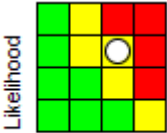
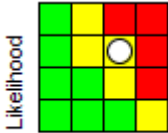

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ENV-CO-HW 010a	Monthly meetings are held with City Surveyor's Department (CSD) on the fire works project, and we request regular updates on progress.	CSD are going out to tender for the fire suppression works on the ventilation at London Wall car park. Works will not commence until 2023 at the earliest.	Ken Stone	02-Sep-2022	31-Dec-2023
ENV-CO-HW 010b	A Fire Risk Assessment is carried out at each car park by an external body every 18 months.	The next Fire Risk Assessments are due to be carried out in early 2023.	Ken Stone	02-Sep-2022	31-Mar-2023
ENV-CO-HW 010c	Finalise the Fire Management Plan.	The Fire Management Plan has been drafted but we are waiting for CSD to provide the Fire Strategy so that we can incorporate it into the final version.	Ken Stone	02-Sep-2022	31-Mar-2023
ENV-CO-HW 010c	Consider ways to reduce speed within the car parks.	We are currently looking into possibilities for installing speed humps across the portfolio to reduce speed and the likelihood of accidents.	Ken Stone	02-Sep-2022	31-Mar-2023
ENV-CO-HW 010d	Improve lighting across all car parks to improve safety and reduce energy use.	There is an ongoing project led by the Energy Team to change all lighting across CoL buildings to LED. This will include the car parks.	Ken Stone	02-Sep-2022	31-Dec-2023
ENV-CO-HW 010e	Carry out lighting and ventilation works in Tower Hill car park to improve safety.	Changing to LED lighting and undertaking ventilation improvement works in Tower Hill Coach and Car Park has been agreed. Works are due to be completed by March 2023.	Ken Stone	02-Sep-2022	31-Mar-2023

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<b>ENV-CO-HW 009 Car Parks: Repairs and maintenance</b>  02-Sep-2022 Ken Stone	<b>Cause:</b> The facilities management of the car parks is dependent upon action by City Surveyor's Department (CSD) and generally beyond our direct control. <b>Event:</b> Required repairs and maintenance to the car parks is delayed. <b>Impact:</b> Increased possibility of structural and safety failure; greater likelihood of fire; serious injury or death of member of the public; our liability as the occupier increases; financial impact of insurance claims and increased premiums; reputational damage.	 Likelihood Impact	<b>16</b>	We are reliant on the City Surveyor's Department (CSD) actioning our requests for facilities management. On a continuous basis, we liaise with CSD to address outstanding issues.  <b>02 Sep 2022</b>	 Likelihood Impact	<b>12</b>	31-Dec-2023	 Constant
							Reduce	

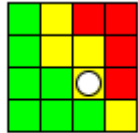
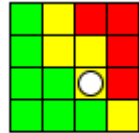
Action no	Action description	Latest Note	Action owner	Latest Note Date	Due Date
ENV-CO-HW 009a	The contractor responsible for each car park reports daily and weekly on any issues, including near misses. Issues are logged on the Concerto (CSD) system by CoL officers for action to be taken.	This is an ongoing action which is kept under review to ensure reports are received and issues logged appropriately.	Ken Stone	02-Sep-2022	31-Dec-2022
ENV-CO-HW 009b	Quarterly meetings are held with CSD and other stakeholders to discuss all CoL owned car parks and current issues.	This is ongoing action. Officers ensure the meetings take place on schedule.	Ken Stone	02-Sep-2022	31-Dec-2022
ENV-CO-HW 009c	Monthly site 'walk-arounds' of each car park are carried out with the FM Manager, car park management contractor and CoL staff to identify and review issues.	This is an ongoing action. CoL staff ensure the monthly visits are carried out with appropriate attendees.	Ken Stone	02-Sep-2022	31-Dec-2022
ENV-CO-HW 009d	Consider alternative options for the provision of facilities management.	Alternative FM options are being investigated, such as direct FM arrangements at each car park.	Ken Stone	02-Sep-2022	31-Dec-2023

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<b>ENV-PD-PD 007 Adverse planning policy context</b> 06-Mar-2015 Rob McNicol	<b>Cause:</b> A desire in Government and others to change the existing planning system in a way which may be detrimental to the City. <b>Event:</b> Changes detrimental to the City are implemented. <b>Impact:</b> Adverse changes cannot be prevented using local planning control.	 Likelihood Impact	<b>12</b>	The risk is considered to be unchanged since its last assessment.  <b>21 Jul 2022</b>	 Likelihood Impact	<b>12</b>	Accept	 Constant

Action no	Action description	Latest Note	Action owner	Latest Note Date	Due Date
ENV-PD-PD 007a	(1) Ongoing monitoring of government regulations; (2) continue monitor progress of, and seek to influence, forthcoming legislation.	Awaiting revised NPPF guidance from Government.	Rob McNicol	21-Jul-2022	31-Dec-2022



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Risk no, title, creation date, owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date/Risk Approach	Current Risk score change indicator
<p>ENV-PD-DS 001 The District Surveyor's (Building Control) Division becomes too small to be viable</p> <p>25-Mar-2015 Gordon Roy</p>	<p><b>Cause:</b> Reduced income causes the service to be unviable.  <b>Event:</b> Development market fails to maintain momentum or our market share shrinks.  <b>Impact:</b> Reduced staffing levels do not provide adequate breadth of knowledge and experience.</p>	<p>Likelihood</p>  <p>Impact</p>	<p>8</p>	<p>The risk has been reviewed and the scoring is unchanged.</p> <p>The plans to create a Local Authority Trading Company are still on hold and are being reviewed in the light of expected changes in the Building Control Regulation regimen that arose following the publication of the Hackett Report (on the Grenfell fire) and the Building Safety Bill. The new Act received Royal Assent in May 2022, with expected implementation for Building Control around October 2023. However, we are awaiting secondary legislation to understand the full impacts.</p> <p>In parallel, the City of London is working with other Boroughs under the London District Surveyors Association to deliver the anticipated new work under the Building Safety Act across London, which is expected to commence in October 2023.</p> <p>An additional strand to the BAU control has been added (involvement with developers as part of the planning application process) and appears to be working well.</p> <p><b>13 Jul 2022</b></p>	<p>Likelihood</p>  <p>Impact</p>	<p>8</p>	<p>Accept</p>	<p>Constant</p>

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Action no	Action description	Latest Note	Action owner	Latest Note Date	Due Date
ENV-PD-DS 001a	(1) Continue to provide excellent services [evidenced by customer survey]; (2) Maintain client links with key stakeholders; (3) Continue to explore new income opportunities; (4) Continue to undertake cross-boundary working. (5) Involvement with developers as part of the planning application process.	Business as usual controls have been reviewed and are suitable.	Gordon Roy	13-Jul-2022	31-Dec-2022
ENV-PD-DS 001c	Following approval of Summit Group, a Business Plan is being developed and will be presented to Members for consideration in due course.	Awaiting further government/Building Safety Regulator guidance. Due October 2023.	Gordon Roy	13-Jul-2022	31-Oct-2023

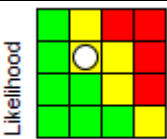
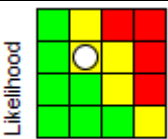
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<b>ENV-CO-HW 002</b> <b>Service/Pipe Subways</b> 02-Dec-2015 Ian Hughes; Giles Radford	<p><b>Cause:</b> Safe access and egress for utilities and maintenance functions is required, whilst having operatives entering the confined space to undertake checks.</p> <p><b>Event:</b> A lack of Oxygen; poisonous gases, fumes and vapour, liquids and solids that suddenly fill spaces; fire and explosions; hot conditions; entrapment and falling debris.</p> <p><b>Impact:</b> Fatality / major injury / illness.</p>	 <p>Likelihood</p>	8	Processes are kept under review and are considered suitable and sufficient  08 Aug 2022	 <p>Likelihood</p>	8	Accept	Constant

Action no	Action description	Latest Note	Action owner	Latest Note Date	Due Date
ENV-CO-HW 002a	<p>Confined space working is avoided when possible. All PPE and other equipment required for a SSOW shall be suitable and sufficient for the tasks identified. Suitable PPE and equipment shall be provided, as stated in the approved code of practice.</p> <p>All openings are controlled through a central booking system. A subway must not be entered if permission to do so has been refused.</p> <p>No booking will be granted to parties who are not on the database. If the contractor is not on the database, they must seek approval from CoL regarding their works. Once confirmed, the contractors will be added to the system before agreeing access.</p> <p>All works and operatives entering the pipe subway must comply with the code of practice for access and safe working in local authority subways.</p> <p>Regular inspections of the structure, covers, condition and asbestos surveys are undertaken.</p> <p>The Permit to Enter form must be completed and contractors checked to ensure they have suitable and sufficient equipment to enter a confined space.</p> <p>No smoking is allowed at any time.</p>	<p>This is an ongoing action.</p> <p>All business as usual mitigations have been reviewed: they are very much current and continue to work effectively.</p>	Giles Radford	11-Aug-2022	31-Mar-2023



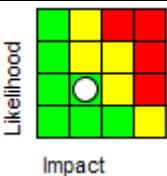
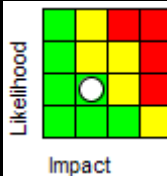
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<b>ENV-PD-DM 002 Failure to meet the planning development needs of the City</b> 23-Mar-2015 Simon McGinn	<b>Cause:</b> Staff are inadequately briefed in relation to the planning development needs of the City as a world business centre and the political environment. <b>Event:</b> We are perceived as being unresponsive to the planning development needs of the City. <b>Impact:</b> Failure to deliver buildings that meet the needs of the City as a world business centre and associated reputational damage.	 Likelihood Impact	6	Impact continues to be reviewed in relation to OMICRON variant, PSG groups have stood down given the improving picture though the position is under continuous review.  <b>20 May 2022</b>	 Likelihood Impact	6		Constant
							Accept	

Action no	Action description	Latest Note	Action owner	Latest Note Date	Due Date
ENV-PD-DM 002a	1) Continue to work closely with other parts of the department; other City of London Departments; and the Greater London Authority. 2) Work closely with the development industry, the City Property Association and New London Architecture (NLA). 3) Participation at MIPIM (international real estate market event) and other property focused national and international events, including promotion of the 'Opportunity London' Campaign. 4) Manage the delivery of appropriate exhibitions and events at the City Centre in conjunction with NLA. 5) Hold regular meetings with property agents and deliver a quarterly summary of City agents' quarterly property market reports.	A Partnership and Engagement Team has been established in the new Environment Department which consolidates and extends expertise to deliver a 'cradle to grave' service for developers and businesses.  The City Corporation is actively engaged in delivering the 'Opportunity London' campaign promoting investment in the City through attending property related events such as MIPIM 2023; LREF 2022 (the London Real Estate Forum); and UK REiif 2023 (the UK Real Estate Investment and Infrastructure Forum).  The implications of legislative changes (which are the subject of the White Paper) have been assessed and staff have been briefed. The City participated, through the Planning Policy Team, in the Government's consultation.	Simon McGinn	03-Sep-2022	31-Dec-2022
ENV-PD-DM 002b	Manage delivery of City Business Improvement Districts (BIDs) and partnerships to align and inform the development of appropriate policies and strategies.	The Eastern City Partnership (EC) and Fleet Street Quarter (FSQ) BIDs have been established in conjunction with existing BIDs in Cheapside and Aldgate. BID proposals align with key City strategies such as the Climate Action Strategy, the Transport Strategy and the 'Destination	Simon McGinn	03-Sep-2022	31-Dec-2022

		City' vision. Member and Officer representatives sit on Board meetings to inform development of action plans. A new Stakeholder and Programme Co-ordinator has been appointed to support engagement with BIDs			
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Risk no, title, creation date, owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date/Risk Approach	Current Risk score change indicator
<b>ENV-PD-PD 006 S106 and CIL Controls</b>  30-Nov-2018 Simon McGinn; Rob McNicol	<b>Cause:</b> Disjointed control mechanisms in relation to processing and monitoring S106 agreements. The need to ensure sufficient specialist capacity to monitor and administer CIL and S106 income. CIL funds being accumulated and not spent on key infrastructure improvements to support the development of the City. <b>Event:</b> Failure to implement audit recommendations. Failure to review and update existing governance relating to CIL spending. <b>Effect:</b> Loss of funds; non-compliance with agreements and reporting; potential reputational damage; lack of alignment to mitigate the impact of development on City stakeholders (businesses, residents and property owners).		4	The risk has been reviewed and the likelihood and impact remain unchanged at Unlikely (2) and Serious (2). The risk remains GREEN.  <b>20 May 2022</b>		4	Accept	Constant

Action no	Action description	Latest Note	Action owner	Latest Note Date	Due Date
ENV-PD-PD 006c	Liaise with the software supplier, Exacom, and the Chamberlain's Department, to put in place a mechanism to import data from CBIS into Exacom to ensure that it contains up to date expenditure and allocation information; and prepare the necessary budget reports from Exacom.	Due to the mechanisms within the Chamberlain's Department whereby expenditure is apportioned to S106 and CIL annually, and not in real time, the recommendations relating to this in the Internal Audit report are not deliverable at present. This is to be the subject of a discussion between the Chamberlain's finance staff for the Environment Department and Internal Audit to consider if the recommendations need to be reviewed. Discussions have taken place with Chamberlain's which are ongoing and Chamberlain's are recruiting a new resource specifically to update the data held on CBIS to upload to Exacom. Once this is complete we will review the need for interaction between Exacom and CBIS and the options for reporting.	Simon McGinn	03-Sep-2022	31-Dec-2022
ENV-PD-PD 006d	Properly resource the funding of posts to support monitoring of spending in respect of S106 and CIL. Engage additional resource to support administration and monitoring of the delivery of S106 and CIL agreements.	The new Target Operating Model (TOM) includes six posts to support the monitoring and delivery of S106 and CIL spending and agreements. Funding for the posts has been secured through existing S106 and CIL administration and monitoring charges. It is hoped that staff will be in post by the end of the year.	Simon McGinn	08-Sep-2022	31-Dec-2022
ENV-PD-PD 006e	Review governance arrangements in respect of CIL expenditure to ensure CIL is only being spent on infrastructure projects related to development. Ensure prioritisation for CIL-related projects to reflect the priorities of the City Plan, which sets out the vision and policies for the future development of the Square Mile.	A CIL governance report is being prepared for consideration by P&T Committee.	Rob McNicol	08-Sep-2022	31-Dec-2022

