



## Environment Department Key Risks (Planning & Transportation Committee)

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Generated on: 21 February 2023

Risk no, title, creation date, owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date/Risk Approach	Current Risk score change indicator
ENV-CO-TR 001 (Formerly CR20) Road Safety	<p><b>Cause:</b> Limited space on the City’s medieval street network to cope with the increased use of the highway by vehicles, pedestrians and cyclists within the City of London. Interventions and legal processes take time to deliver safely and effectively.</p> <p><b>Event:</b> The City Corporation’s statutory duties and the measures outlined in the Transport Strategy are not fully and effectively implemented.</p> <p><b>Effect:</b></p> <ul style="list-style-type: none"> <li>•The number of casualties occurring on the City’s streets rises or remains unchanged instead of reducing</li> <li>•The safety and feeling of safety of the City’s communities is adversely affected (Corporate Plan Outcome 1)</li> <li>•Physical or mental harm suffered by those involved in collisions and their associates</li> <li>•Economic costs of collisions impact on individuals, City businesses and wider society</li> <li>•The City Corporation’s ability to improve road safety is adversely impacted with businesses and/or</li> </ul>		24	<p>The risk assessment remains at 24 (Impact 8 - Extreme, Likelihood 3 – Possible), from 16. This reflects the increasing numbers of people travelling in the City and that one person has been killed in a collision in the last 12 months.</p> <p>Mitigating actions include:</p> <ul style="list-style-type: none"> <li>- Delivery of All Change at Bank, the Pedestrian Priority Programme and Healthy Streets minor schemes.</li> <li>- Continuing to progress St Paul’s Gyratory with Gateway 4 report to go to Committees in May.</li> <li>- Delivering cycle training to 154 people over the 12 months to February 2023.</li> </ul>		16	31-Mar-2027	

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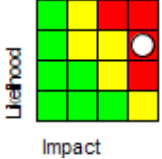
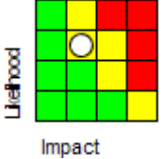

<p>23-Oct-2015</p> <p>Ian Hughes; Bruce McVean</p>	<p>the public by virtue of loss of credibility and/or authority</p>			<p>- External engagement activity jointly with City of London Police, including safety awareness training at cycle road shows</p> <p>The Police also address unsafe behaviour, including through regularly stopping and prosecuting drivers for speeding, alcohol and drug intoxication, unsafe and uninsured vehicles, and use of mobile phones.</p> <p>The draft Vision Zero Plan will be considered by Committees in March.</p> <p><b>17 Feb 2023</b></p>			<p>Reduce</p>	<p>Constant</p>
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Action no	Action description	Latest Note	Action owner	Latest Note Date	Due Date
<p>ENV-CO-TR 0011 (formerly CR20)</p>	<p>A programme of projects to reduce road danger on the City's streets including:</p> <ul style="list-style-type: none"> <li>• Bank on Safety and All Change at Bank</li> <li>• RDR engineering programme</li> <li>• 15mph traffic limit</li> <li>• Ludgate Circus (lead by TfL)</li> </ul>	<p>Construction underway on All Change at Bank, due to complete in spring 2024. Committee approval to deliver permanent improvements on King Street, Old Jewry and King William Street through the Pedestrian Priority Programme was secured in February, with construction starting on King Street in April. Recommendations on permanent changes to Cheapside, Threadneedle Street and Old Broad Street are due to go to Committee in May. An experimental closure of Chancery Lane to through traffic begins on 20th February and can remain in place for up to 18 months. Continuing to progress St Paul's Gyratory with Gateway 4 report to go to Committees in May. Works to deliver 10 Healthy Streets Minor Schemes across the City are due to complete by the end of March 2023. These largely consist of raising carriageway at junctions or on desire lines for informal crossing to provide a 'step-free' crossing point, making it more accessible for wheelchair users, and create a calmer and safer environment by reducing traffic speeds. The draft Vision Zero Plan will be considered by Committees in March, this identifies 10 priority locations for future Safer Streets investment and a range of actions relating to changing streets to reduce road danger.</p>	<p>Ian Hughes</p>	<p>16-Feb-2023</p>	<p>31-Mar-2023</p>

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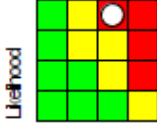
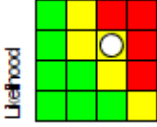

<p>ENV-CO-TR 001m (formerly CR20)</p>	<p>Campaigns and engagement activities to encourage safe behaviours and promote safe vehicles, including:</p> <ul style="list-style-type: none"> <li>• Active City Network</li> <li>• User and stakeholder liaison</li> <li>• Schools programme</li> </ul>	<p>154 people received cycle training over the 12 months to February 2023. Over the same period our external engagement activity jointly with City of London Police included 34 cycle road shows, which include safety awareness training. The Police also address unsafe behaviour, including through regularly stopping and prosecuting drivers for speeding, alcohol and drug intoxication, unsafe and uninsured vehicles, and use of mobile phones. The draft Vision Zero Plan will be considered by Committees in March, this a range of actions relating to safer behaviours that will be delivered in partnership with the City of London Police.</p>	<p>Ian Hughes</p>	<p>17-Feb- 2023</p>	<p>31-Mar- 2023</p>
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ENV-CO-HW 010 Car Parks: Safety  02-Sep-2022 Ken Stone	<p><b>Cause:</b> Dilapidation of the car parks and the location of some car parks, e.g. London Wall car park is beneath the road where a fire or structural issue could have wider implications.</p> <p><b>Event:</b> Fire risk is increased and there is a greater likelihood of accidents and near misses within the car parks.</p> <p><b>Impact:</b> Serious injury or death; structural failure could have wider implications; vehicle damage; increased insurance claims; potential enforcement action and fines; reputational damage.</p>		24	<p>We are aiming to improve the safety of the car parks through replacing lighting, redecoration and FM projects. A range of projects are underway or being considered for future implementation which should help to reduce this risk.</p> <p><b>16 Feb 2023</b></p>		6	31-Dec-2023	 Constant
							Reduce	

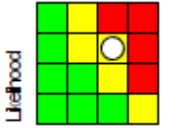
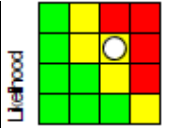
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ENV-CO-HW 010a	Monthly meetings are held with City Surveyor's Department (CSD) on the fire works project, and we request regular updates on progress.	CSD are going out to tender for the fire suppression works on the ventilation at London Wall car park. Works will not commence until 2023 at the earliest.	Ken Stone	16-Feb-2023	31-Dec-2023
ENV-CO-HW 010b	A Fire Risk Assessment is carried out at each car park by an external body every 18 months.	The next Fire Risk Assessments are due to be carried out in July 2023.	Ken Stone	16-Feb-2023	30-Sep-2023
ENV-CO-HW 010c	Finalise the Fire Management Plan.	The Fire Management Plan has been drafted but we are waiting for CSD to provide the Fire Strategy so that we can incorporate it into the final version.	Ken Stone	16-Feb-2023	31-Dec-2023
ENV-CO-HW 010c	Consider ways to reduce speed within the car parks.	We are currently looking into possibilities for installing speed humps across the portfolio to reduce speed and the likelihood of accidents.	Ken Stone	16-Feb-2023	31-Dec-2023
ENV-CO-HW 010d	Improve lighting across all car parks to improve safety and reduce energy use.	There is an ongoing project led by the Energy Team to change all lighting across CoL buildings to LED. This will include the car parks.	Ken Stone	16-Feb-2023	31-Dec-2023
ENV-CO-HW 010e	Carry out lighting and ventilation works in Tower Hill car park to improve safety.	Changing to LED lighting and undertaking ventilation improvement works in Tower Hill Coach and Car Park has been agreed. Works are due to be completed by July 2023.	Ken Stone	16-Feb-2023	31-Aug-2023

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ENV-CO-HW 009 Car Parks: Repairs and maintenance  02-Sep-2022 Ken Stone	<p><b>Cause:</b> The facilities management of the car parks is dependent upon action by City Surveyor's Department (CSD) and generally beyond our direct control.</p> <p><b>Event:</b> Required repairs and maintenance to the car parks is delayed.</p> <p><b>Impact:</b> Increased possibility of structural and safety failure; greater likelihood of fire; serious injury or death of member of the public; our liability as the occupier increases; financial impact of insurance claims and increased premiums; reputational damage.</p>	 <p>Likelihood</p> <p>Impact</p>	16	<p>We are reliant on the City Surveyor's Department (CSD) actioning our requests for facilities management. On a continuous basis, we liaise with CSD to address outstanding issues.</p> <p>Looking to employ a Parking Asset Manager to take control of FM.</p> <p><b>16 Feb 2023</b></p>	 <p>Likelihood</p> <p>Impact</p>	12	31-Dec-2023	 Constant
							Reduce	

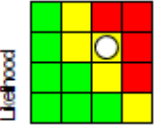
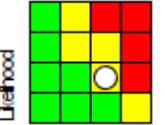

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ENV-CO-HW 009a	The contractor responsible for each car park reports daily and weekly on any issues, including near misses. Issues are logged on the Concerto (CSD) system by CoL officers for action to be taken.	This is an ongoing action which is kept under review to ensure reports are received and issues logged appropriately.	Ken Stone	16-Feb-2023	31-Dec-2023
ENV-CO-HW 009b	Quarterly meetings are held with CSD and other stakeholders to discuss all CoL owned car parks and current issues.	This is ongoing action. Officers ensure the meetings take place on schedule	Ken Stone	16-Feb-2023	31-Dec-2023
ENV-CO-HW 009c	Monthly site 'walk-arounds' of each car park are carried out with the FM Manager, car park management contractor and CoL staff to identify and review issues.	This is an ongoing action. CoL staff ensure the monthly visits are carried out with appropriate attendees.	Ken Stone	16-Feb-2023	31-Dec-2023
ENV-CO-HW 009d	Consider alternative options for the provision of facilities management.	Alternative FM options are being investigated, such as direct FM arrangements at each car park.	Ken Stone	16-Feb-2023	31-Dec-2023

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ENV-PD-PD 007 Adverse planning policy context 06-Mar-2015 Rob McNicol	<p><b>Cause:</b> A desire in Government and others to change the existing planning system in a way which may be detrimental to the City.</p> <p><b>Event:</b> Changes detrimental to the City are implemented.</p> <p><b>Impact:</b> Adverse changes cannot be prevented using local planning control.</p>	 <p>Likelihood</p> <p>Impact</p>	12	The risk is considered to be unchanged since its last assessment.  21 Feb 2023	 <p>Likelihood</p> <p>Impact</p>	12	Accept	Constant

Action no	Action description	Latest Note	Action owner	Latest Note Date	Due Date
ENV-PD-PD 007a	(1) Ongoing monitoring of government regulations; (2) continue monitor progress of, and seek to influence, forthcoming legislation.	Government is currently consulting on proposed changes to the NPPF and these are being reviewed currently. The risk will be reviewed again in due course.	Rob McNicol	21-Feb-2023	31-May-2023

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<b>ENV-PD-DS 001 The District Surveyor's (Building Control) Division becomes too small to be viable</b>	<p><b>Cause:</b> Reduced income causes the service to be unviable.</p> <p><b>Event:</b> Development market fails to maintain momentum or our market share shrinks.</p> <p><b>Impact:</b> Reduced staffing levels do not provide adequate breadth of knowledge and experience.</p>		<b>12</b>	<p>The risk has changed been reviewed and the scoring has changed due to failure to recruit new staff.</p> <p>The plans to create a Local Authority Trading Company are still on hold and are being reviewed in the light of expected changes in the Building Control Regulation regimen that arose following the publication of the Hackett Report (on the Grenfell fire) and the Building Safety Bill. The new Act received Royal Assent in May 2022, with implementation for Building Control around October 2023. However, we are awaiting secondary legislation to understand the full impacts.</p> <p>In parallel, the City of London is working with other Boroughs under the London District Surveyors Association to deliver the anticipated new work under the Building Safety Act across London, which is expected to commence in October 2023. A report was approved by Planning &amp; Transportation Committee on the 10th January 2023 for the District Surveyors to act as the Single Point of contact between the Regulator and London</p>		<b>8</b>		

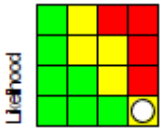
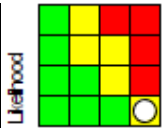

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<p>25-Mar-2015 Gordon Roy</p>				<p>Boroughs known as the "HUB". Implementation date October 2023.</p> <p>An additional strand to the BAU control has been added (involvement with developers as part of the planning application process) and appears to be working well.</p> <p>One member of the team has submitted their retirement notice with another expected shortly. Recent recruitment has failed to attract any new staff. High concern regarding numbers of competent and trained staff, resulting in the changed risk status.</p> <p><b>20 Feb 2023</b></p>			<p>Accept</p>	<p>Constant</p>
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Action no	Action description	Latest Note	Action owner	Latest Note Date	Due Date
ENV-PD-DS 001a	<p>(1) Continue to provide excellent services [evidenced by customer survey];</p> <p>(2) Maintain client links with key stakeholders;</p> <p>(3) Continue to explore new income opportunities;</p> <p>(4) Continue to undertake cross-boundary working.</p> <p>(5) Involvement with developers as part of the planning application process.</p>	Business as usual controls have been reviewed and are suitable.	Gordon Roy	20-Feb-2023	31-Dec-2023
ENV-PD-DS 001c	Following approval by P & T Committee, a Business Plan is being developed and will be presented to Members for consideration in due course.	Awaiting further government/Building Safety Regulator guidance. Due October 2023.	Gordon Roy	20-Feb-2023	31-Oct-2023

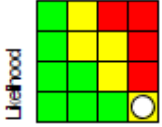




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<b>ENV-PD-DS 003</b> <b>Inspecting Dangerous Structures</b> 24-Nov-2015 Gordon Roy	<b>Cause:</b> Officers involved in inspecting a dangerous structure. <b>Event:</b> Any of the following: (a) structural failure or building collapse; (b) falling object(s); (c) fire; (d) live electrics; (e) toxic substances; and/or (f) trips and falls. <b>Impact:</b> Ranging from minor injury to death.	 Impact	<b>8</b>	Risk is unchanged and remains valid.  <b>20 Feb 2023</b>	 Impact	<b>8</b>		 Constant
							Accept	

Action no	Action description	Latest Note	Action owner	Latest Note Date	Due Date
ENV-PD-DS 003a	Emergency Planning procedures in place - only authorised personnel to respond to Dangerous Structures call-outs and enter buildings.  Take advice from Fire Brigade and emergency services.  PPE issued and monitored.  ISO9001:2015 Accredited (Quality Management Systems in place)	All mitigation measures in place.	Gordon Roy	20-Feb-2023	31-Mar-2024

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ENV-CO-HW 002 Service/Pipe Subways 02-Dec-2015 Ian Hughes; Giles Radford	<p><b>Cause:</b> Safe access and egress for utilities and maintenance functions is required, whilst having operatives entering the confined space to undertake checks.</p> <p><b>Event:</b> A lack of Oxygen; poisonous gases, fumes and vapour, liquids and solids that suddenly fill spaces; fire and explosions; hot conditions; entrapment and falling debris.</p> <p><b>Impact:</b> Fatality / major injury / illness.</p>	 Likelihood Impact	8	The risk assessment is still suitable and sufficient  20 Feb 2023	 Likelihood Impact	8		 Constant
							Accept	

Action no	Action description	Latest Note	Action owner	Latest Note Date	Due Date
ENV-CO-HW 002a	<p>Confined space working is avoided when possible. All PPE and other equipment required for a SSOW shall be suitable and sufficient for the tasks identified. Suitable PPE and equipment shall be provided, as stated in the approved code of practice. All openings are controlled through a central booking system. A subway must not be entered if permission to do so has been refused. No booking will be granted to parties who are not on the database. If the contractor is not on the database, they must seek approval from CoL regarding their works. Once confirmed, the contractors will be added to the system before agreeing access. All works and operatives entering the pipe subway must comply with the code of practice for access and safe working in local authority subways. Regular inspections of the structure, covers, condition and asbestos surveys are undertaken. The Permit to Enter form must be completed and contractors checked to ensure they have suitable and sufficient equipment to enter a confined space. No smoking is allowed at any time.</p>	This is an ongoing action.	Giles Radford	16-Feb-2023	31-Dec-2023

