

City of London: Projects Procedure Corporate Risks Register

Project name: St Paul's External Lighting

Unique project identifier: PV9672

Total est cost (exc risk) £2075000

Corporate Risk Matrix score table

PM's overall risk rating	Medium
Avg risk pre-mitigation	10.5
Avg risk post-mitigation	5.0
Red risks (open)	3
Amber risks (open)	12
Green risks (open)	1

	Minor impact	Serious impact	Major impact	Extreme impact
Likely	4	8	16	32
Possible	3	6	12	24
Unlikely	2	4	8	16
Rare	1	2	4	8

Costed risks identified (All)

£0.00	0%
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Costed risk as % of total estimated cost of project

Costed risk pre-mitigation (open)

£0.00	0%
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" "

Costed risk post-mitigation (open)

£0.00	0%
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" "

Costed Risk Provision requested

£0.00	0%
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CRP as % of total estimated cost of project

- (1) Compliance/Regulatory
- (2) Financial
- (3) Reputation
- (4) Contractual/Partnership
- (5) H&S/Wellbeing
- (6) Safeguarding
- (7) Innovation
- (8) Technology
- (9) Environmental
- (10) Physical

Number of Open Risks	Avg Score	Costed impact	Red	Amber	Green
1	12.0	£0.00	0	1	0
5	10.8	£0.00	1	4	0
3	8.7	£0.00	1	1	1
2	6.0	£0.00	0	2	0
1	24.0	£0.00	1	0	0
0	0.0	£0.00	0	0	0
0	0.0	£0.00	0	0	0
0	0.0	£0.00	0	0	0
0	0.0	£0.00	0	0	0
4	7.5	£0.00	0	4	0

Issues (open)

0

Open Issues

Extreme	Major	Serious	Minor
0	0	0	0
0	0	0	0

All Issues

0

All Issues

0	0	0	0
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Cost to resolve all issues (on completion)

£0.00

Total CRP used to date

£0.00

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Project Name:	St Paul's External Lighting	PM's overall risk rating:	Medium	CRP requested this gateway	£ -	Average unmitigated risk	10.5	Open Risks	15
Unique project identifier:	PV9672	Total estimated cost (exc risk):	£ 2,075,000	Total CRP used to date	£ -	Average mitigated risk score	5.0	Closed Risks	21

General risk classification										Mitigation actions										Ownership & Action			
Risk ID	Gateway	Category	Description of the Risk	Risk Impact Description	Likelihood Classification pre-mitigation	Impact Classification pre-mitigation	Risk score	Costed Impact pre-mitigation (£)	Costed Risk Provision requested Y/N	Confidence in the estimation	Mitigating actions	Mitigation cost (£)	Likelihood Classification on post-mitigation	Impact Classification on post-mitigation	Costed impact post-mitigation (£)	Post-Mitigation risk score	CRP used to date	Use of CRP	Date raised	Named Departmental Risk Manager/Coordinator	Risk owner (Named Officer or External Party)	Date Closed OR/Realised & moved to Issue	Comment(s)
R1	2	(4) Contractual/Partnership	St Paul's Cathedral project development objectives differ from Col. objectives	Impacting project's progress and working relationship between the City and the Cathedral.	Likely	Major	16	£0.00	N		Close liaison with the Cathedral to agree scheme objectives	£0.00	Possible	Minor	£0.00	3	£0.00		10/04/2013		Clarisse Tavin	10/12/2013	
R2	2	(4) Contractual/Partnership	Insufficient coordination between St Paul's and CoLC	Impacting project's progress and costs. Potential impact on working relationship between the parties.	Possible	Serious	6	£0.00	N		Communicate regularly with St Paul's. Arrange Design Team / Working Group meetings.	£0.00	Unlikely	Minor	£0.00	2	£0.00				Clarisse Tavin		Udision meetings have been effective in building trust. Wider discussion with Chapter at St Paul's are planned
R3	2	(1) Compliance/Regulatory	St Paul's Cathedral does not manage consultants in accordance with Col. evaluation requirements resulting in insufficient information to produce Col. evaluation report	Impacting project's progress (time & costs).	Possible	Serious	6	£0.00	N		Early agreement on consultants scope of work		Unlikely	Serious	£0.00	4	£0.00				Clarisse Tavin	12/12/2013	Management of consultants will be the responsibility of CoLC with St Paul's acting in the capacity of client.
R4	2	(2) Financial	Funding insufficient to cover all required consultants work	Project is paused or progresses at much slower rate whilst funding is secured.	Possible	Major	12	£0.00	N		Source cost estimates from consultants and agree funding strategy with St Paul's Cathedral		Unlikely	Serious	£0.00	4	£0.00		05/07/2013		Clarisse Tavin		Updates to Memers will be provided regularly, specifically on any risks related to funding, to ensure requests for additional funding is expected.
R5	5	(2) Financial	Spend to save element of project is too low to allow match funding to be sought	unable to secure external sponsorship	Possible	Major	12	£0.00	N		Ensure that cost analysis is part of the design process, and spend to save element taken as an important design factor.	£0.00	Unlikely	Minor	£0.00	2	£0.00		05/07/2013		Clarisse Tavin	12/12/2013	
R6	2	(2) Financial	Cost consultants not appointed	Insufficient estimates or no cost information will impact sponsorship efforts.	Possible	Serious	6	£0.00	N		Ensure that cost consultants are appointed	£0.00	Unlikely	Minor	£0.00	2	£0.00		05/07/2013		Clarisse Tavin	12/12/2013	
R7	2	(8) Technology	Electrical Engineers not appointed	Insufficient technical information available	Possible	Serious	6	£0.00			Ensure that electrical engineers are appointed	£0.00	Unlikely	Minor	£0.00	2	£0.00		05/07/2013		Clarisse Tavin	12/12/2013	
R8	2	(2) Financial	Lack of Col. Member support	project paused or closed down; funding not approved	Possible	Major	12	£0.00	N		Arrange Members' briefings, and actively engage and update Members on the project		Unlikely	Serious	£0.00	4	£0.00		05/07/2013		Clarisse Tavin	12/12/2013	
R9	2	(4) Contractual/Partnership	Project governance / management structure unclear	Confusion over roles and responsibilities.	Possible	Major	12	£0.00	N		Discuss and agree project governance structure and reporting lines at inception meeting		Unlikely	Serious	£0.00	4	£0.00		05/07/2013		Clarisse Tavin	12/12/2013	
R10	2	(2) Financial	Members do not agree to provide Committee Contingency Funding to the project	Project unable to progress s funding unavailable.	Possible	Major	12	£0.00	N		Project Sponsor / Senior Officer to discuss with Chairman prior to Committee		Possible	Serious	£0.00	6	£0.00		05/07/2013		Clarisse Tavin	12/12/2013	
R11	2	(9) Environmental	Public spaces lighting not included in evaluation exercise	The desired effect of the new external lighting for the could be compromised	Possible	Serious	6	£0.00	N		Ensure the inclusion of public space lighting in the evaluation exercise is stipulated in the consultant's brief		Unlikely	Serious	£0.00	4	£0.00		05/07/2013		Clarisse Tavin	12/12/2013	Public spaces lighting included in the consultant's concept proposals.
R12	2	(2) Financial	Sponsorship Consultant not provide high quality sponsorship Package	Difficulties with securing sponsorship.	Possible	Serious	6	£0.00	N		Ensure that information required in the sponsorship package are detailed in the consultants brief		Unlikely	Minor	£0.00	2	£0.00		05/07/2013		Clarisse Tavin	12/12/2013	The consultant produce satisfactory package, which attracted potential sponsors.
R13	2	(4) Contractual/Partnership	Sponsorship Package does not reflect both City and Cathedral expectations and view	Difficulties in agreeing on sponsorship package sign-off, impacting project's progress and working relationships.	Unlikely	Serious	4	£0.00	N		Ensure that information required in the sponsorship package are detailed in the consultants brief		Rare	Serious	£0.00	2	£0.00		05/07/2013		Clarisse Tavin	12/12/2013	
R14	2	(4) Contractual/Partnership	Col. and Cathedral disagree on the sponsorship approach and sponsorship funding	affects obtaining the funds necessary to deliver the project	Possible	Serious	6	£0.00	N		Organise internal briefings and presentations to St Paul's Committees		Unlikely	Serious	£0.00	4	£0.00		05/07/2013		Clarisse Tavin	12/12/2013	
R15	2	(4) Contractual/Partnership	Col. and Cathedral do not agree who will be the recipient of the sponsorship funding	affects the working relationships with St Paul's and impacts the project programme	Possible	Major	12	£0.00	N		Discuss and agree the recelling and management of the sponsorship funding with St Paul's at an early stage of the project		Rare	Major	£0.00	4	£0.00		05/07/2013		Clarisse Tavin	12/12/2013	Approach endorsed by the Chamberlain.
R16	2	(1) Compliance/Regulatory	Col. regulations regarding sponsorship does not allow sponsorship funding to be received	Difficulties for the officers to manage project funds.	Possible	Serious	6	£0.00	N		Col. to investigate the regulations and discuss alternative options with Chamberlains and the Cathedral at an early stage		Unlikely	Serious	£0.00	4	£0.00		05/07/2013		Clarisse Tavin	12/12/2013	
R17	2	(2) Financial	Sponsorship process not agreed internally	Unable to receive sponsorship funding and progress the project.	Possible	Major	12	£0.00	N		Internal briefings, advice from the Chamberlains and the legal team to be sought at early stage.		Unlikely	Major	£0.00	8	£0.00		05/07/2013		Clarisse Tavin	12/12/2013	
R18	2	(2) Financial	Potential sponsors unresponsive	Inability to secure sufficient funding for the overall project	Possible	Major	12	£0.00	N		Set exact criteria to identify the most appropriate City businesses and Lighting Companies that could be approached for potential sponsorship		Unlikely	Major	£0.00	8	£0.00		05/07/2013		Clarisse Tavin	11/09/2023	
R19	3	(3) Reputation	Lack of support from City Members to the developed Sponsorship Package.	inability to progress with securing external sponsorship	Possible	Major		£0.00	N		Internal briefings and presentations to City Committees		Unlikely	Major	£0.00	8	£0.00		05/10/2015		Clarisse Tavin	03/03/2017	
R20	3	(2) Financial	Existing Main distribution equipment not in good condition and needs replacement	costs of the project will likely increase	Likely	Major	16	£0.00	N		undertake detailed assessment of the existing main distribution equipment		Possible	Major	£0.00	12	£0.00		01/03/2017		Andrea Moraviccova		
R21	3	(2) Financial	Lack of support of the final sponsorship package from the Cathedral	affecting progress with securing external funding	Possible	Major	12	£0.00	N		Briefings and presentations to St Paul's committees		Unlikely	Major	£0.00	8	£0.00				Clarisse Tavin	20/05/2023	
R22	3	(3) Reputation	Failure of the existing lighting system	damage could be caused by the failing light fittings and fixtures	Likely	Major	16	£0.00	N		seek additional funding, so the project can progress as soon as possible. Review project's programme and deliver		Unlikely	Serious	£0.00	4	£0.00		09/10/2021		Andrea Moraviccova		

