

Environment Department Key Risks (PH&ES Committee)

Generated on: 19 October 2023



Rows are sorted by Risk Score

Risk no, title, creation date, owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date/Risk Approach	Current Risk score change indicator
ENV-PHPP 001 Brexit - Impact on Port Health and Animal Health	<p>Cause: The outcome of Brexit negotiations does not secure continuity of contracts, access to talent, ongoing grant funding and/or security of supply chains.</p> <p>Event: The City Corporation services fail to prepare appropriately for the end of the Brexit transition period. Uncertainty around the potential outcomes until it is too late to react.</p> <p>Effect: There is a range of potential impacts. The City Corporation's services are disrupted due to increases/changes in trade and as supply chains and contracts are reassessed, potentially increasing cost and reprioritisation of resources. Increased risk to public, animal and environmental health due to legislative changes. Increased risk and cost to consumers. Inadequate IT support if current EU software is replaced by bespoke UK systems that do not have sufficient functionality. Reduction in income if charging regimes are not established as part of Brexit. Potential for increased workload depending on whether agreement is reached from 'no deal' (check everything), through to no checks on EU products based and on risk via a full reciprocal arrangement (status quo).</p>		<p>24</p>	<p>On 29 August 2023, the UK Government published a new Border Target Operating Model (BTOM), setting out new controls at the border. This includes a risk-based approach to border controls for food, feed, plants, seeds and live animals.</p> <p>Border checks will be phased in from 31 January 2024. The biggest impact on the Service will be from 30 April 2024 when documentary, physical and identity checks at the border will be introduced for medium risk food and feed imports.</p> <p>The CoL has received Defra funding for Brexit readiness and Defra has confirmed that we are able to maintain an additional eight funded posts until June 2024. We are in further discussion with Defra regarding</p>		<p>6</p>	<p>31-Dec-2024</p>	

Appendix 2

08-Nov-2016 Gavin Stedman				additional readiness funding. 12 Oct 2023			Reduce	Constant
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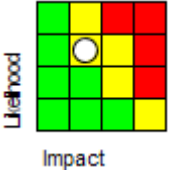
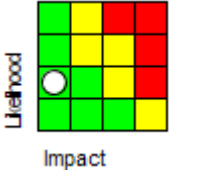

Action no	Action description	Latest Note	Action owner	Latest Note Date	Due Date
ENV-PHPP 001c	Engage with stakeholders to assist in the identification of impacts and possible mitigations. Ensure Remembrancer and CoL departments are fully aware of the implications of Brexit on PH&PP and that they lobby accordingly.	Our previous stakeholder engagement actions have been completed. Although the BTOM has now been published, we are still waiting for further detail to enable us to plan future service provision. We will undertake further stakeholder engagement as appropriate.	Gavin Stedman	12-Oct-2023	31-Dec-2024
ENV-PHPP 001d	Respond promptly to policy decisions from the UK Government and the outcome of negotiations.	The Port Health Service will continue to respond to the government's stakeholder consultations on the implementation of the BTOM.	Gavin Stedman	12-Oct-2023	31-Dec-2024

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Action no	Action description	Latest Note	Action owner	Latest Note Date	Due Date
ENV-CO-GC 002c	<p>Monitor the percentage of City of London staff who have completed Driver Check and ensure that it remains above 92.5%.</p> <p>Monitor the percentage of City of London staff who have been identified, through Driver Check, as drivers (or managers of drivers) who have completed the Corporate Transport Policy online training course and ensure that it remains above 92.5%.</p> <p>Monitor overall completion rates for both driver check and the online training course and ensure that it remains above 92.5%.</p> <p>Monitor the collection and periodic monitoring of driver licence details (and, in the case of grey fleet drivers, vehicle details).</p>	<p>Pending completion of work by HR to improve the certainty regarding who works for the City of London, this risk remains RED. Whilst going through the information with HR, an IT issue has arisen with the data so this has to be resolved and the matter has been escalated. Part of the escalation has now proven successful but there are still issues with the reporting systems and information on the HR systems.</p> <p>In the meantime, we have carried out a manual review of the data with HR and calculated that: of the 3,719 members of staff on the HR system, 3,332 have completed the compulsory Driver Check and 55 are in the process of doing so. That leaves 332 staff who have not yet completed it. This figure remains relatively high due to new teachers starting and being required to complete checks, and some duplicate admin accounts.”</p> <p>Until HR have resolved the automatic reporting mechanism, this will remain a red risk but it is monitored very closely.</p> <p>This issue has recently been escalated via the Corporate Transport Coordinating Group to Director level.</p>	Vince Dignam	19-Oct-2023	31-Jan-2024

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ENV-CO-GC 006a	Conduct annual Business Continuity Exercise.	<p>Actions raised from the Business Continuity Plan exercise in January 2023 have been reviewed: the contractor and the City have updated lessons learned and added the Business Continuity Plan as a live document to the governance for review.</p> <p>The Business Continuity Plan and lessons learned were discussed at the Quarterly Partnership board meeting in April 2023.</p> <p>The next Business Continuity exercise will be undertaken in Winter 2023.</p>	Vince Dignam	17-Oct-2023	30-Jan-2024

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CR21 Air Quality 07-Oct-2015 Bob Roberts	<p>Cause: Levels of air pollution in the City, specifically nitrogen dioxide and fine particles, impact on the health of residents, workers and visitors. The City Corporation has a statutory duty to take action to improve local air quality.</p> <p>Event: The City of London Corporation is insufficiently proactive and resourced, and does not have the right level of competent staff, to be able to fulfil statutory obligations, as a minimum, in order to lower levels of air pollution and reduce the impact of existing air pollution on the health of residents, workers and visitors.</p> <p>Effect: The City Corporation does not fulfil statutory obligations and air pollution remains a problem, impacting on health. Potential for legal action against the Corporation for failure to deliver obligations and protect health. Adverse effect on ability to deliver outcomes 2 and 11 of the Corporate Plan</p>		6	No change from the current risk rating. Risk will be reviewed following adoption of updated air quality strategy in 2024 03 Oct 2023		2	31-Dec-2026	 Constant
							Reduce	

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CR21 001h	Develop baseline model for compliance assessment and publish annual report of air quality data	The 2022 Annual Status report was submitted on time and approved by the GLA and Defra. Work is underway to assess the % area compliance for 2022.	Ruth Calderwood	12-Oct-2023	31-Dec-2025
CR21 001i	100% of vehicles owned or leased by the CoL are electric or hybrid by 2025	The City Corporation continues to add zero emission vehicles to its fleet with 8 hybrid and 19 pure electric vehicles. A database has been created of fleet carbon and air pollution (NOx and PM) emissions.	Ruth Calderwood	12-Oct-2023	31-Dec-2025
CR211	Assess percentage compliance rate with NO2 target	The % area compliance for 2021 was 94%. Work is underway to assess the % compliance area for 2022.	Ruth Calderwood	12-Oct-2023	31-Dec-2024