

									Recommendations		
Department	Audit Title	Priority	Rationale for Inclusion	Audit Days	Audit Hours (budget)	Audit hours (Actual)	Audit Status	Assurance Rating	Red	Amber	Green
Chamberlain	Failure to Prevent Fraud - Policy, Procedure, Process	1	new legislation	15	105		Fieldwork				
Chamberlain	Income Collection and Debt Management	1	Work in Progress	19.5	136.5		Final Report	Substantial/Moderate	0	4	0
Chamberlain	PAR Process	1	Work in Progress	5	21	25	Final Report	Substantial	0	0	1
Chamberlain	Failure to Prevent Fraud - Education and Awareness	1	new legislation	7	49		Not yet started				
Chamberlain	Failure to Prevent Fraud - Risk Management and Due Dilligence	1	new legislation	15	105		Not yet started				
Chief Strategy Officer	Statutory Performance Reporting	2 - Cyclical	Due on cyclical programme	10	70		Mgt letter to be drafted	N/A			
City Surveyor	IPG Commercial Rents	1 - Cyclical	Due on cyclical programme	20	140		Final Report	Limited	0	4	0
City Surveyor	Property Assets - Cyclical Maintenance	2 - Cyclical	Due on cyclical programme	15	105		Fieldwork				
Comptroller & City Solicitor	Data Protection - 2nd line assurance	2 - Cyclical	Due on cyclical programme	7	49		Not yet started				
Deputy Town Clerk	Code of Conduct (Employees)	2 - Cyclical	Due on cyclical programme	10	70		Fieldwork				
Deputy Town Clerk	Scheme of Delegations	2 - Cyclical	Due on cyclical programme	15	105		Not yet started				
Deputy Town Clerk	Complaints	2 - Cyclical	Due on cyclical programme	7	49		Not yet started				
Deputy Town Clerk	Corporate Health and Safety	1 - Cyclical	Due on cyclical programme	15	105		Fieldwork				
Environment	Parking	2 - Cyclical	Due on cyclical programme	10	70		Not yet started				
Chamberlain	Contract Management	1	Work in Progress	1.25	8.75		Final Report	Substantial	0	1	0
Chamberlain	Cash Management	1 - Cyclical	Due on cyclical programme	15	105		Fieldwork				
Chamberlain	Change Control	2	Complexity of programmes and	15	105		Fieldwork				

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Chamberlain	ERP - Delivery and Oversight	1	High risk programme	15	105		Fieldwork				
Chamberlain	Programme and Projects Budget Monitoring	2 - Cyclical	sensitivity of MTFP	15	105		Not yet started				
Chamberlain	IT Access Controls	1	Top IIA Risks	10	70		Fieldwork				
Chamberlain	ICT Strategy	1	Strategy now bedded in	10	70		Planning and Scoping				
Chamberlain	Cyber Security	1	Top IIA Risks	15	105		Not yet started				
Chamberlain	Use of Consultants (inc IR35 Compliance)	1	Chamberlain's Request	15	105		Final Report	Limited	3	0	0
Chamberlain	Payroll	2 - Cyclical	Due on cyclical programme	20	140		Not yet started				
Chamberlain	Pension Administration (LGPS)	2 - Cyclical	Due on cyclical programme	15	105		Planning and Scoping				
Chamberlain	Employee Relations casework	2 - Cyclical		10	70		Not yet started				
Chamberlain	Learning and Development	2 - Cyclical		10	70		Not yet started				
City Surveyor	Asset Disposal (may need to be split - to discuss at the time of auditing)	2 - Cyclical		10	70		Not yet started				
City Surveyor	Tower Bridge Mud tanks Consultant Appointments	1	In year Management request	5	35		Final Report	Substantial	0	0	1
Community and Children's Services	Direct Payments (Children)	1	Work in Progress	2	14		Final Report	Substantial	0	0	1
Community and Children's Services	Direct Payments (Adults)	1	Work in Progress	5	35		Final Report	Substantial	0	3	1
Community and Children's Services	Safeguarding (audit Liaison - Lianne Coopey/Rumina Sultana)	1		10	70		Not yet started				
Community and Children's Services	Housing Rents (audit Liaison - Lianne coopey/Rumina Sultana)	2		15	105		Not yet started				
Community and Children's Services	Fees and Charges	1	Work in Progress	7	49		Not yet started				

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Comptroller & City Solicitor	Risk Management	1	Final Report Issued	0.5	3.5		Final Report	Moderate	0	2	0
Comptroller & City Solicitor	LEXCEL - reliance on assessment and accreditation	2 - Cyclical	Working with external Providers	5	35		Final Report	N/A	0	0	0
Environment	Charity Review Outcomes	2	Post review assurance	10	70		Not yet started				
Environment	Fees and Charges	1	In progress	1.5	10.5		Final Report	Substantial	0	2	0
Environment	Natural Environment Risk Management	1	Thematic Review	1	7		Final Report	Substantial	0	0	1
Environment	Net Zero/Climate Action	1	Top IIA Risks	15	105		Final Report	Substantial	0	2	0
Environment	Building Control	2 - Cyclical	Due on cyclical programme	15	105		Not yet started				
Environment	Port Health Governance		TBD				Not yet started				
Environment	Planning and Development - Risk Management	1	Thematic Review	5	35		Final Report	Substantial			
Deputy Town Clerk	Guildhall Club - including Contract Management	3	Preparedness for future contract	7	49		Planning and Scoping				
Deputy Town Clerk	Contract Management	1	Work in Progress	1	7		Final Report	Moderate	0	4	0
Remembrancer	Events (Commercial)	3 - Cyclical	Due on cyclical programme	7	49		Planning and Scoping				
City of London Junior School	Income	1	Work in Progress	3	21		Final Report	Moderate	2	1	0
City of London School for Girls	Income	1	Work in Progress	2.5	17.5		Final Report	Moderate	2	1	0
Guildhall School of Music and Drama	Data Quality - HESA	1	Work in Progress	10.5	73.5		Fieldwork				
City of London school	Tuition Income						Final Report	Limited	2	1	0
Barbican Centre	Health & Safety	1	Work in Progress	3	21		Final Report	Limited	5	2	0
Barbican Centre	Ticket Income			10	70		Not yet started				

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Barbican Centre	Purchase Cards			3	21		Not yet started				
Barbican Centre	Barbican Renewal Programme Governance	1		15	105		Fieldwork				
City Bridge Foundation	Grants	1	Work in Progress	6.5	45.5		Final Report	Substantial	0	2	0
City Bridge Foundation	Tower Bridge Contract Management	1	Work in Progress	2	14		Final Report	Limited	3	2	0
City Bridge Foundation	Financial Management	1 - Cyclical		10	70		Fieldwork				
City Bridge Foundation	Risk Management	1 - Cyclical		10	70		Not yet started				
City Bridge Foundation	Tourism (Tower Bridge Exhibition) Income	1 - Cyclical		10	70		Fieldwork				
City Bridge Foundation	Grants Framework	1 - Cyclical		10	70		Fieldwork				
City Bridge Foundation	Employees	1 - Cyclical		10	70		Not yet started				
City of London Police	Cost Recovery and Income Collection (part 2)	1		1.5	10.5		Final Report	Substantial	0	0	0
City of London Police	IT Service Delivery and Service Management	1 - Cyclical		15	105		Not yet started				
City of London Police	Risk Management	1 - Cyclical		10	70		Not yet started				
City of London Police	Productivity Measurement (growth tracking)	1 - Cyclical		10	70		Not yet started				
City of London Police	Workforce Planning	1 - Cyclical		15	105		Not yet started				
City of London Police	Pensions Administration (Police Scheme)	1 - Cyclical		10	70		Not yet started				
Guildhall School of Music and Drama	Non-substantive workforce	1	Work in Progress	1.5	10.5		Final Report	Limited	1	0	0
Guildhall School of Music and Drama	Contract Management	1	Work in Progress	2	14		Final Report	Limited	3	3	0
Guildhall School of Music and Drama	Fees and Charges	1	Work in Progress	7	49		Not yet started				

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Barbican Centre	Fees and Charges	1	Work in Progress	7	49		Not yet started				
Innovation and Growth	Risk Management	1	Work in Progress	3	21		Not yet started				